

Confidential Information
Suspicious Transaction Report (STR)

Form no.:(1) for Banks
Report no.:
Date: / /

For official use only: Office's report no.: Report Receiving date: / /
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To: Anti-Money Laundering & Counter-Financing the Terrorism Office -Iraq

Is this report connected to a STR filed prior to this one? Yes No

If the answer to the above is 'Yes', the reference number of the previous report is to be provide by the office.

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Section I: Information about the Reporting Bank
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- 1-Name of the BankBranch:.....
- 2-Address:.....Telephone Number:.....
- 3-E-mail:.....
- 4-Name of reporting officer:.....position:.....
- 5-Telephone number:.....E-mail :.....
- 6-Signature:.....Date:.....

Section II: A- Information about the Natural Person Subject of the Suspicious Transaction Report

- 1-Customer's Full name:..... Surname:.....
 - 2-Mother's full name:.....
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This information is confidential and its circulation should be only restricted to the Bank's reporting officer and AML&CFT office and shouldn't be disclosed for an unrelated party including the suspicious customer.

- 3-Gender: Male Female
- 4-Marital Status: Married: Unmarried
- 5-Profession:.....
- 6-Employer's name:.....
- 7-Politically Exposed Persons: Yes No
- 8-Starting date of the Business Relationship:.....
- 9-Identification number:.....Type :..... Issuing date:.....
- 10-Nationality:
 Resident Non-resident
- 11-Passport Number:.....Issuing Date:.....Expiry Date.....
- 12-Home address: Province:.....City:.....
Block No.:.....Alley/Street:.....House/Building.....
- 13-Work address: Province:.....City:.....
Block No.:.....Alley/Street:.....House/Building.....
- 14: Telephone number:.....Email:.....
- 15: Identification documents to be attached.

B-Information about the Legal Person Subject of the Suspicious Transaction

- 1-Name:.....
- 2-Name of originators:.....
- 3-Commercial registration no.:.....
- 4-Incorporation's nationality:.....

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- 5-Branches' number of the company:.....
- 6- Persons authorized for drawing and depositing:.....
- 7-Activity type: Industrial Commercial Agricultural
Services: Other (to be mentioned):.....
- 8- Country of company establishment:.....
Date of establishing
- 9-Starting date of the business relationship:.....
- 10-Telephone number:..... fax number:.....
- 11-Address: Province:.....City:.....
Block No.....Alley/Street:.....House/Building.....
- 12-Name of the external auditor:.....
- 13-Address: Province:.....City:.....
Block No.:.....Alley/Street:.....House/Building.....
- 14- Identification documents to be attached.

Information of Authorized Manager

- 1-Full name:.....surname:.....
- 2- Gender: Male Female
- 3-Marital status: Married Unmarried
- 4- Politically Exposed Persons. Yes No
- 5- Nationality: Iraqi non-Iraqi, the nationality to be mentioned.....
- 6-Telephone number.....Email:.....
- 7-Identification number:.....type:..... Date of issue:.....
- 8-Passport number: Date of issue:.....date of expiry:.....

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9-Address: Province:.....City:.....
Block No.:.....Alley/Street:.....House/Building.....

10- All identification documents to be attached.

C-Information about the second party (Joints Accounts):

1-Full name:.....Surname:.....

2- Gender: Male Female

3-Marital status: Married Unmarried

4-Profession:.....

5-Employer's name:.....

6-Politically Exposed Person: Yes No

7-Nationality: Iraqi non-Iraqi, nationality to be mentioned.....

Residential status: Resident Non-resident

8-Telephone Number:.....Email:.....

9-Identification number:.....Type:.....Date of issue:.....

10-Passport number:.....Date of issue:.....Date of expiry:.....

11-Address: Province:.....City:.....
Block No.:.....Alley/Street:.....House/Building.....

12- All identification documents to be attached.

D- Information about the Beneficial Owner

1-Full Name:.....Surname:.....

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- 2- Gender: Male Female
- 3-Marital status: Married Unmarried
- 4-Profession:.....
- 5-Employer's name:.....
- 6-Politically Exposed Person: Yes No
- 7-Nationality: Iraq Non-Iraqi, nationality to be mentioned.....
- Residential status: Resident Non-resident
- 8-Telephone Number:.....Email:.....
- 9-Identification Number:.....Type:.....Date of issue:.....
- 10-Passport Number:.....Date of issue:.....Date of expiry:.....
- 11-Residential Address: Province:.....City:.....
- Block No.:.....Alley/Street:.....House/Building.....
- 12- All identification documents of to be provided.

III- Subject Account Information

- 1- Account holder's Name:.....
- 2- Branch in which the account opened:.....
- 3-Account number:.....
- 4-Account type:.....
- 5-Account opening date:.....
- 6-Is the account being closed: Yes No account closing date:.....
- 7-Balance of the account:.....

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- 8-Total debit activity during the suspicion period:.....
- 9-Total credit activity during the suspicion period:.....
- 10-General description of the account use:.....
- 11-Main Economic Partner dealing with subject account:.....
- 12- Main Banks which the customer are dealing with:.....
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IV- Details of Suspicious Transaction

- 1-Transaction Nature:.....
- 2- Transaction Date:.....
- 3-Transaction Purpose:.....
- 4-Amount Transacted:.....
- 5- Currency in which the Transaction Carried Out:.....
- 6- Name of Sender:.....
- 7-Sender's Account Number or Transaction's Number:.....
- 8-Name of the Beneficiary Owner:.....
- 9-Beneficiary's Account Number (if available):.....
- 10-Name of Receiver:.....
- 11-Receiver's account number:.....
- 12-Country of the Receiving:.....
- 13-Transaction status:.....

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V- Description of the Detailed Reason for Reporting

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VI- Measures Taken by the Reporting Entity

1-Circumstances associated with the detection about the suspicious transaction:

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2-Internal procedures taken before reporting:.....

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3-Measures taken against the suspicious customer after reporting:.....

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Note: If the space of a field was not enough, the reporting entity may add more pages to the report.

VII-List of Documents attached to the Report

- 1-Account statement for the period of six months.
- 2-Copies of account opening forms.
- 3-KYC form of the suspicious customer.
- 4-Documents which support the suspicion.
- 5-Documents related to the nature of the transaction.

Signature

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