

Republic of Iraq

**Anti-Money Laundering and Countering Financing of
Terrorism Office**

**Standard XML Reporting
Instructions and Specifications**

Version 4.0.2



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1. Summary

The purpose of this specifications document is to provide both the reporting entities and reporting persons with the requirements and conditions for creating compatible XML files using the provided XML- Schema for the different supported report types.

A report file contains the following information which can be represented in the goAML Client after uploading and verifying the XML file.

- Basic information about the report.
- Where does the money come from.
- Who conducted the transaction.
- Where does the money go to.
- Was the transaction related to a property transfer
- Who reported the transaction(s) (Optional)
- What was the reason for the report and which actions have been taken (Optional)
- In multi-party transactions, list of all involved parties and their respective roles in the transactions.

An XML report is linked to one Reporting Entity but may contain multiple transactions. An uploaded report can be from ONE report type.

This document will provide a reference to the schema, nodes and types as well as the lookup tables for enumeration values. (e.g. Country Codes)

2. Conventions used in this document

The following conventions are used in this document:

	Required field
	Required, 1 to N values
	Optional field
	Optional sub node
	Required sub node
	Optional, but one of the two nodes should be provided
Integer	A 32 bit value
Date time	A date and time value in the following format: YYYY-MM-DDTHH:MM:SS
	Sequence to sub nodes



3. Description of XML Nodes

3.1 Node “report”

Basic information about Reporting Entity, reporting date and type of report. It can contain multiple transactions.

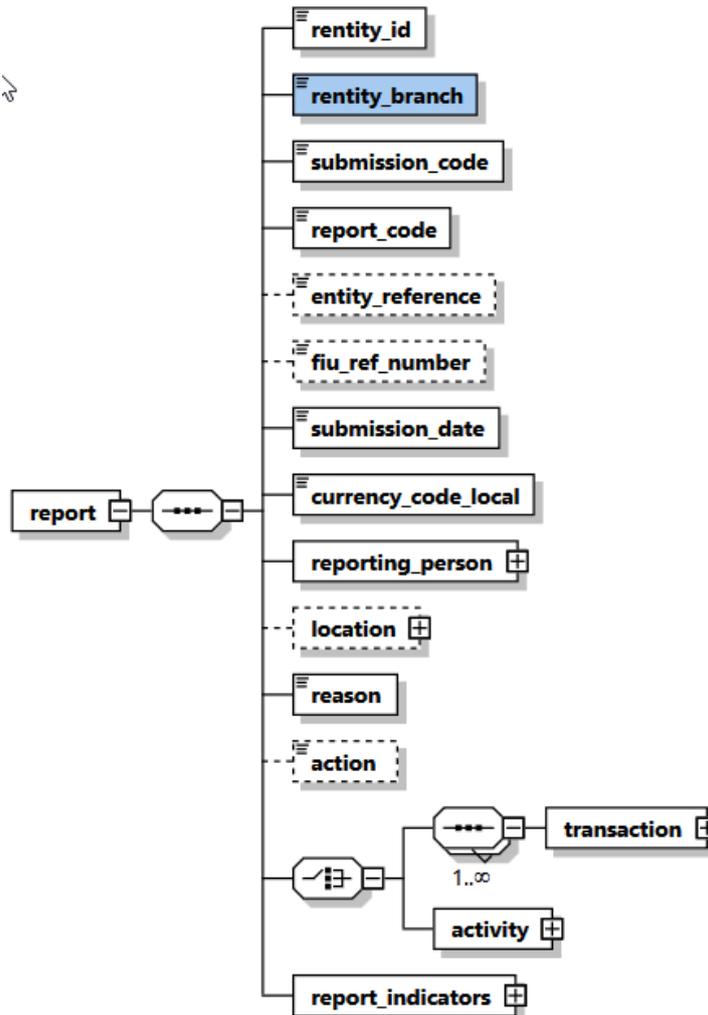


Figure 1: Overview node “report”

Name	Description	Length	Req.	Example
rentity_id	Reporting Entity number defined by FIU	Integer ≥ 1	Y	1237
rentity_branch	Branch of current reporting entity.	255	Y	Branch of Western Union whom reported the transactions
submission_code	Type of submission	Enumeration	Y	See 5.1 Submission type
report_code	Type of transaction (STR/CTR)	Enumeration	Y	5.8 Report Code



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entity_reference	Optional reference to the report, used by reporting entity	255	N	STR Rep 392
fiu_ref_number (New in Schema 3.1)	Optional ref. number to be used as communication channel between the FIU and the Reporting Entity	255	N	STR_20100217. FIU requests more info regarding a report, so RE can use that number in their reply
submission_date	Submission date and time	Datetime	Y	2006-03-25T11:55:00
currency_code_local	Local Currency code	Type “Currency-type”	Y	EUR
reporting_person	Full details of the report’s reporting person	Type “t_person_registration_in_report”	Y	Can be forced mandatory in certain report types by using the new goAML feature: “XML Rejection Rules”
Location	Describes location of the reported report	Type “t_address”	N	Mandatory: Same as “reporting person”
reason	Why the report was reported (in CASE of STRs is required) For CTR – Fill it in as CTR For AIF – Fill it in as AIF	4000	Y	Mandatory: Same as “reporting person”
action	Describes action related to the report	4000	N	Mandatory: Same as “reporting person”
As of schema 4.0, Report could contain either a list of transactions as it was always or a list of involved subjects without the need of a transaction to link them.				
	transaction	Transaction information	type transaction	Y See 3.2 Node transaction
	Activity	Involved subjects and items list linked directly to report. New in 4.0		Y See 3.3 Node activity
report_indicators	List of indicators for the current reports	Type “indicator”	1..many	See 3.11 Subnode report indicators

Table 1: Details node “report”



3.11 Subnode report_indicators

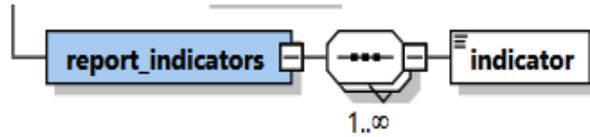


Figure 2: Overview subnode report_indicators

Name	Description	Length	Req.	Example
indicator	Some classification for the report	25	Y When parent node “report_indicators” is provided	Please, use one or more indicator cods See Report Indicators 6.1

Table 2: Details subnode report_indicators

3.2 Node transaction

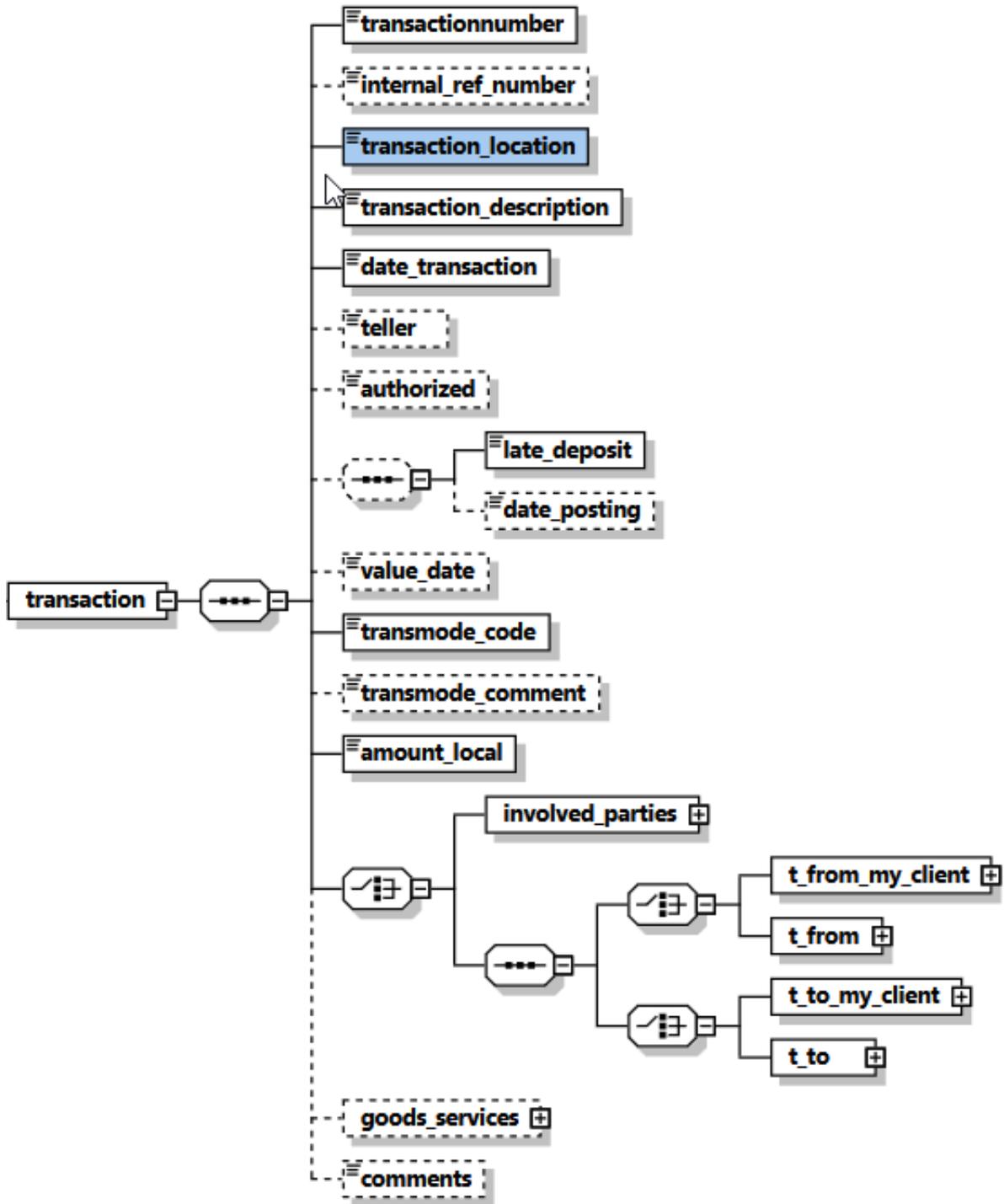


Figure 3: Overview node transaction

Standard XML Reporting Instructions and Specifications

Name	Description	Length	Req	Example
transactionnumber	Unique transaction number for bank transaction	50	Y	20084711
Internal_ref_number	Reporting Entity internal transaction reference number	50	N	WU_BRNCH01_0001
transaction_location	Branch/Location where the transaction took place	255	Y	Branch 001
transaction_description	Free text field to describe the purpose of the transaction	4000	Y	
date_transaction	Date and time of the transaction	DateTime	Y	2006-03-25T11:55:00
teller	Bank staff who conducted the transaction	20	N	ID88933345
authorized	Bank staff who authorized the transaction	20	N	ID00033345
<p><i>late_deposit</i> and <i>date_posting</i> are both optional but when setting <i>date_posting</i>, <i>late_deposit</i> becomes mandatory.</p> <p><u>3 possible combinations</u></p> <ol style="list-style-type: none"> 1. none of the nodes is set 2. only <i>late_deposit</i> is set 3. <i>late_deposit</i> AND <i>date_posting</i> are set 				
late_deposit	Late deposit indicator	Boolean	N	True
date_posting	Date of posting (if different from date of transaction)	DateTime	N	2006-03-24T19:55:00
value_date	The actual date when the money will be credited (For example, Value date of a cheque)	DateTime	N	2006-03-27T00:00:00
transmode_code	How the transaction was conducted	Enumeration	Y	See 5.6 transmode code
transmode_comment	Description if transmode_code is "O" (Other)	50	N	-
amount_local	The value of the transaction in local currency	Decimal	Y	-
<p>Transaction could be either a bi-party transaction with clear From and To sides, or a multi-party transaction with unlimited list of subjects (Persons, Accounts and Entities) where each has a role in the transaction rather than a clear from or to side.</p>				
Bi-Party Transaction				
<p>One of the nodes <i>t_from_my_client</i> or <i>t_from</i> should be provided. Both CANNOT be present together in a transaction, but one of them should be present.</p>				
t_from_my_client	Specifies where the money came from. If the source is reporting bank's client, then this node should be provided	Subnode	Y (one of them)	See <i>t_from_my_client</i>



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t_from	Specifies where the money came from	Subnode		See 3.42 Node t_from
One of the nodes <i>t_to_my_client</i> or <i>t_to</i> should be provided. Both CANNOT be present together in a transaction, but one of them should be present.				
t_to_my_client	Specifies where the money went. If the destination is reporting bank's client, then this node should be provided	Subnode	Y (one of them)	See 3.51 Node t_to_my_client
t_to	Specifies where the money went.	Subnode		See 3.52 Node t_to
Multi-Party Transaction				
Please, do not use this node structure.				
party	Describes the involved party details	Type "t_party"	Y	See 4.4 Type t_party
Goods_services	The goods/services linked to the transaction	subnode	N	See 3.5 3.6 Subnode goods_services
Comments	Generic comments field	4000	N	

Table 3: Details node transaction



3.3 Node Activity (New in Schema 4.0)

A new feature of schema 4.0. Reports can now include an activity node to represent an event where a list of subjects and goods are related directly to the report without the need of a transaction.

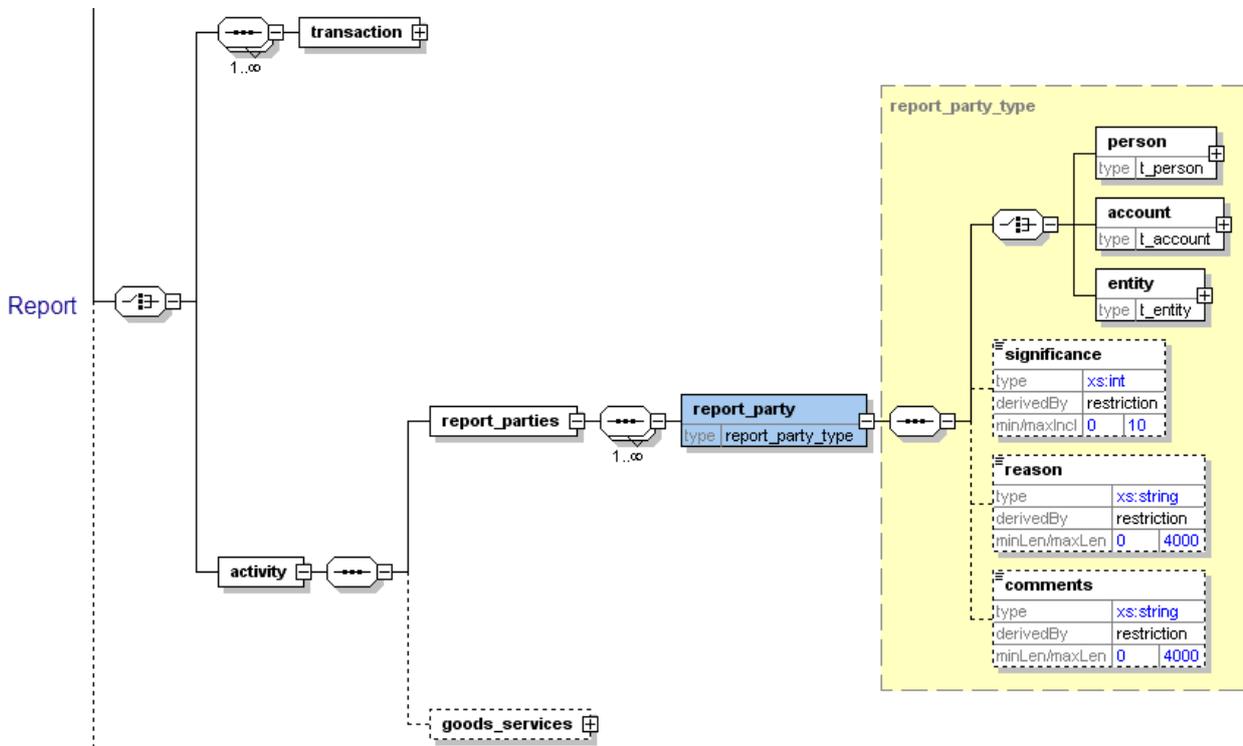


Figure 4: Overview node activity

Name	Description	Length	Req.	Example
report_parties	Represents a collection of involved subjects an involved subject with it's details		Y	
report_party	Represents a single involved subject with its details. At least one party should be included.	Type report_party_type	Y	See 4.9 Node report_party_type
goods_service	The standard goods_services node available in previous schemas	Type t_trans_item	N	-

Table 4: Details node transaction



3.41 Node t_from_my_client

This node should be provided if the source side of the transaction is a client of the reporting bank. As of schema 4.0, entity could be a direct party in bi-party transactions

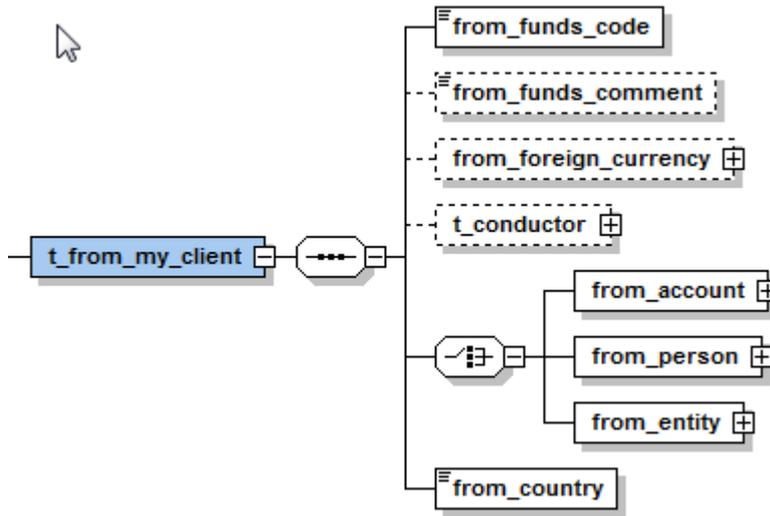


Figure 5: Overview node t_from_my_client

Name	Description	Length	Req.	Example
from_funds_code	Type of funds used in initiating transaction	Enumeration	Y	See 5.2 Funds type
from_funds_comment	Description, if funds_code is “O” (Other).	255	N	-
from_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
t_conductor	The person performing the transaction.	type t_person_my_client	N	See 4.31 Type t_person_my_client
from_account	Subnode that holds account information	type t_account_my_client	Y (one of them only)	See 4.11 Type t_account_my_client
from_person	Subnode that holds “from person” information.	Type t_person_my_client		See 4.31 Type t_person_my_client
from_entity New in Schema 4.0	Subnode that holds “from entity” information.	Type t_entity_my_client		See 4.32 Type t_entity_my_client
from_country	Country where transaction was initiated.	Enumeration	Y	See 5.14 Country Codes

Table 5: Details node t_from_my_client



3.42 Node t_from

Source of the transaction. Can be either a person, an account or an entity. In case of a person source, as per Schema 3.0, the conductor could be different from the source person. As per schema 4.0, the entity could be a direct party in bi-party transactions

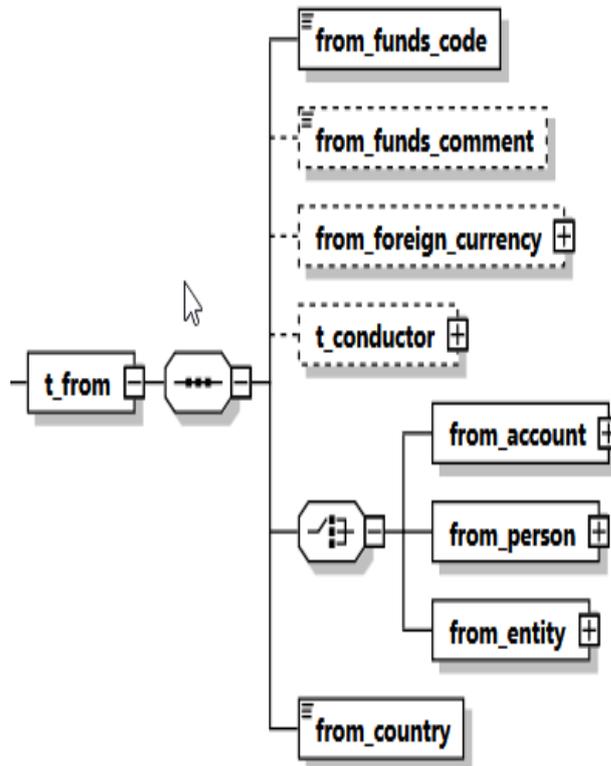


Figure 6: Overview node t_from

Note: Bi-directional transactions are composed of a source and destination. The source and destination may be either a person, an account or an entity (As per schema 4.0). For account deposits, the source is a person and the destination is an account i.e. on t_from side we will have from_person and on the t_to side we will have t_to_account. For account withdrawals, we will have from_account at the t_from side and to_person at the t_to side. For money remittances, we will have person to person transactions i.e. from_person at the t_from side and to_person at the t_to side. The same structure of person to person transactions can be used for any money service type of transaction. For account transfers, we will have account to account transactions i.e., from_account at the t_from side and to_account at the t_to side.

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Name	Description	Length	Req.	Example
from_funds_code	Type of funds used in initiating transaction	Enumeration	Y	See 5.2 Funds type
from_funds_comment	Description, if funds_code is “O” (Other).	255	N	-
from_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
t_conductor	The person performing the transaction.	type t_person	N	See 4.32 Type t_person
from_account	Subnode that holds account information	type “t_account”	Y (one of them)	See 4.12 Type t_account
from_person	Subnode that holds “from person” information.	type “t_person”		See 4.32 Type t_person
from_entity New in Schema 4.0	Subnode that holds “from entity” information.	type “t_entity”		See 4.22 Type t_entity
from_country	Country where transaction was initiated.	Enumeration	Y	See 5.14 Country Codes

Table 6: Details node t_from



3.51 Node t_to_my_client

This node should be provided if the destination side of the transaction is a client of the reporting bank.

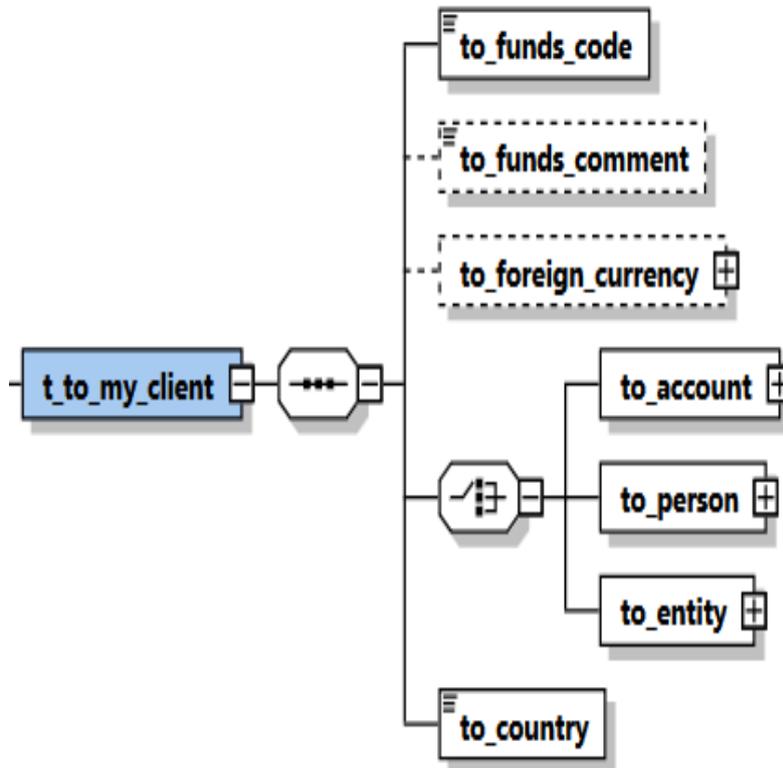


Figure 7: Overview node t_to_my_client

Name	Description	Length	Req.	Example
to_funds_code	Disposition of funds	Enumeration	Y	See 5.2 Funds type
to_funds_comment	Description, if funds_code is “O” (Other) or policy number.	255	N	-
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
to_account	Subnode that holds account information	type t_account_my_client	Y (one of them)	See 4.11 Type t_account_my_client
to_person	Subnode that holds person information	type t_person_my_client		See 4.31 Type t_person_my_client
to_entity New in Schema 4.0	Subnode that holds “to_entity” information.	Type t_entity_my_client		See 4.32 Type t_entity_my_client
to_country	Target country of the transaction	Enumeration	Y	See 5.14 Country Codes

Table 7: Details node t_to_my_client



3.52 Node t_to

Information about the transaction disposition(s) - i.e. where the money went. *t_to* can either point to a person or to an account.

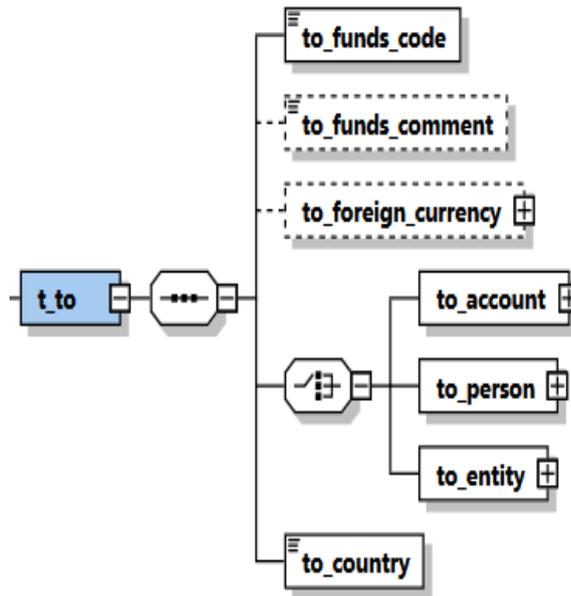


Figure 8: Overview node t_to

Name	Description	Length	Req.	Example
to_funds_code	Disposition of funds	Enumeration	Y	See 5.2 Funds type
to_funds_comment	Description, if funds_code is “O” (Other) or policy number.	255	N	-
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
to_account	Subnode that holds account information	type t_account	Y (one of them)	See 4.12 Type t_account
to_person	Subnode that holds person information	type t_person		See 4.32 Type t_person
to_entity New in Schema 4.0	Subnode that holds “to entity” information.	Type t_entity		See 4.22 Type t_entity
to_country	Target country of the transaction	Enumeration	Y	See 5.14 Country Codes

Table 8: Details node t_to



3.6 Subnode goods_services

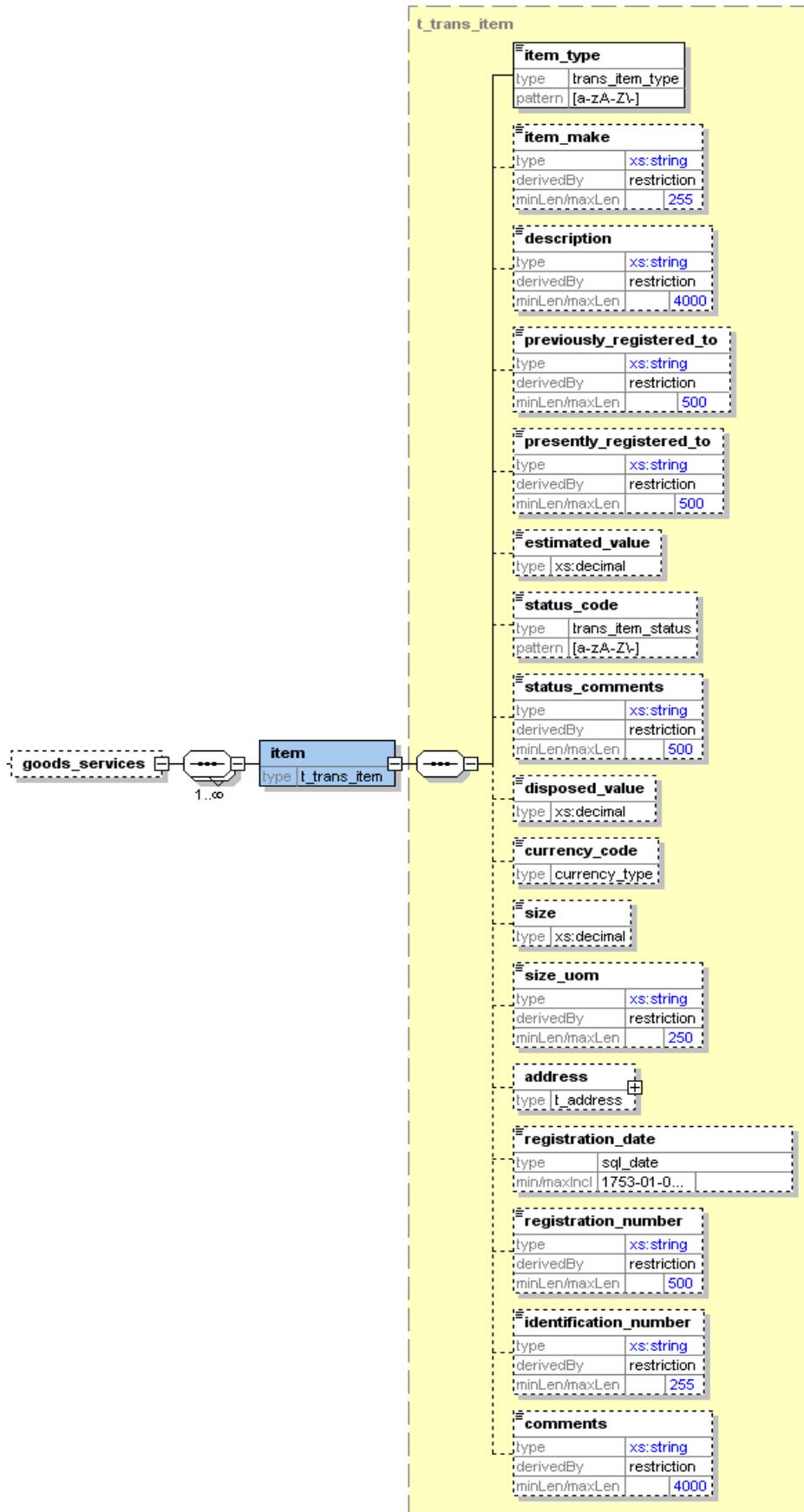


Figure 9: Overview subnode goods_services



Standard XML Reporting Instructions and Specifications

Name	Description	Length	Req.	Example
Item_type	Lookup code describes the item type	Type “trans_item_type”	Y	C = Car L = Land
Item_make	Item Maker	255	N	In case of Car for example, BMW
description	Text	4000	N	Apartment building
previously_registered_to	Name of previous owner	500	N	John Smith
presently_registered_to	Name of current owner	500	N	Jane Smith
estimated_value	Estimated value of the property – Used Currency is the one specified in node <i>from currency</i>	Decimal	N	250000.00
status_code	Status code	Enumeration	N	See 5.7 Transaction Item Status
status_comments	Status Comments	500	N	
disposed_value	effective value for property transfer – Used Currency is the one specified in node <i>from currency</i>	Decimal	N	500000.00
Currency_code (New in Schema 3.1)	used to report service conducted in foreign currency	Enumeration	N	See 5.13 Currencies
size	Size of the property – in unit specified in node <i>size uom</i>	Decimal	N	150
size_uom	Unit of measurement	250	N	Square meters
address	Address of the property	type t_address	N	4.5 Type t_address
registration_date	Official registration date	DateTime	N	2001-12-17T09:30:47
registration_number	Official registration number	500	N	Car VIN Number
Identification_number	Any number that can identify the item	255	N	Car Plate Number
Comments	Additional comments	4000	N	

Table 9: Details subnode goods_services



4. Description Of Common Types Used in the Schema

4.11 Type *t_account_my_client*

The structure of this type is similar to that of type *t_account*, with some added restrictions i.e. some nodes which are not mandatory in *t_account* may be mandatory in *t_account_my_client*. These restrictions are defined by the local FIU. The logic here is that whenever the involved account or person is the client of the reporting entity, more details may be demanded on the client, and the reporting entity must have the information as part of the adherence to the “Know Your Customer” (KYC) philosophy of compliance.

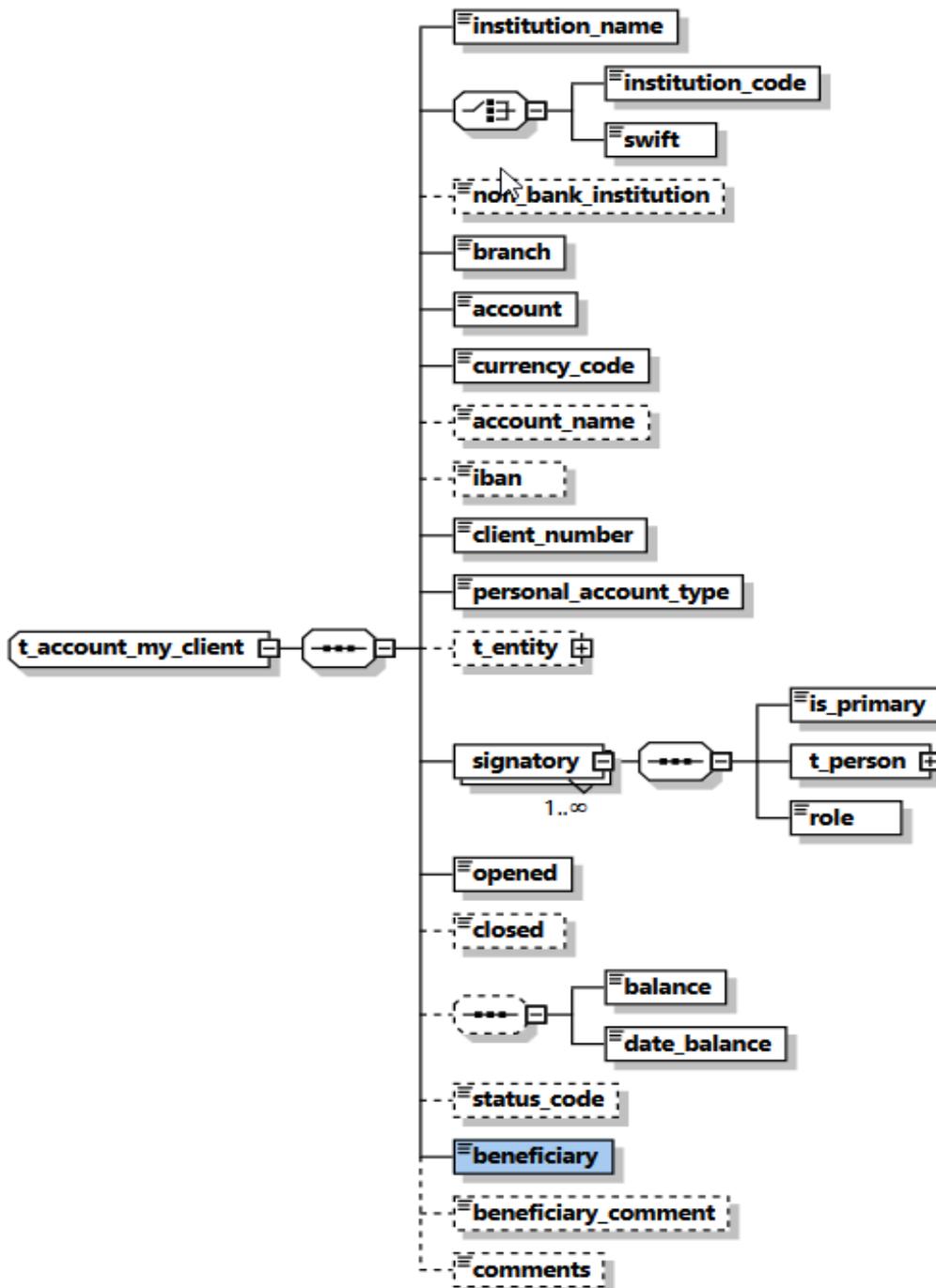


Figure 10: Overview type *t_account_my_client*

Standard XML Reporting Instructions and Specifications

Name	Description	Length	Req.	Example
Institution_name (New in Schema 3.0)	The name of the Bank	255	Y	Bank of ...
institution_code	Institution code	50	Y (one of them)	-
swift	SWIFT code according to ISO 9362	11		ATTBVI
Non_banking_inst itution (New in Schema 3.0)	A flag to cover cases where the account belongs to non-banking institution	Boolean	N	Investment company
Branch (enlarged in vesion. 3.1 to 255 chars)	Branch code or name	255	Y	ABX12
Account (enlarged in vesion. 3.1 to 50 chars)	Account number	50	Y	31032027088
currency_code	Currency the account is kept in	Enumeration	Y	See 5.13 Currencies
account_name (enlarged in 3.1 to 255 chars)	This is a free text field used to "Label" the account, for example a saving book account with anonymous owner, or an Entity account dedicated to Invoices, etc.	255	N	Private savings account
iban	IBAN	34	N	LT601010012345678901
client_number	Client number	30	Y	31032027088
personal_account_ type	Account Type	Enumeration	Y	See 5.3 Account type
t_entity	Business entity owning the account	type t_entity	N	See 4.22 Type t_entity
signatory	Person(s) with signatory rights on the account.	Subnode (can repeated to specify multiple signatories). Note that the node t_person is of type t_person_my_client	Y	-
is_primary	Identifies the primary account holder. Only one signatory may be marked as <i>is_primary</i> . Has to be 'true' when node is set.	fixed = 1	Y	
t_person	Subnode holding detailed information about the signatory. Mandatory for signatories in the XML report.	type t_person_my_client	Y	See 4.31 Type t_person_my_client
role (New in Schema 4.0)	Subnode holding enumeration about the role of current signatory with the account. report.	type "account_person_role_type"	Y	See 5.154.31 Type t_person_my_client
opened	Date account opened	DateTime	Y	2003-01-25T00:00:00



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closed	Date account closed	DateTime	N	2006-03-25T00:00:00
balance	The account balance after the transaction was conducted.	Decimal	Y	5000.50
date_balance (New in Schema 4.0)	A date to specify the date of the reported balance. Application will show balance history	Date	Y	
status_code	Account status when transaction was initiated	Enumeration	N	See 5.4 Account status type
beneficiary	Ultimate beneficiary of the account	50	Y	Ella Machera
beneficiary_comment	Any special remark on the beneficiary	255	N	
comments	Generic comments elements	4000	N	

Table 10: Details type t_account_my_client



4.12 Type t_account

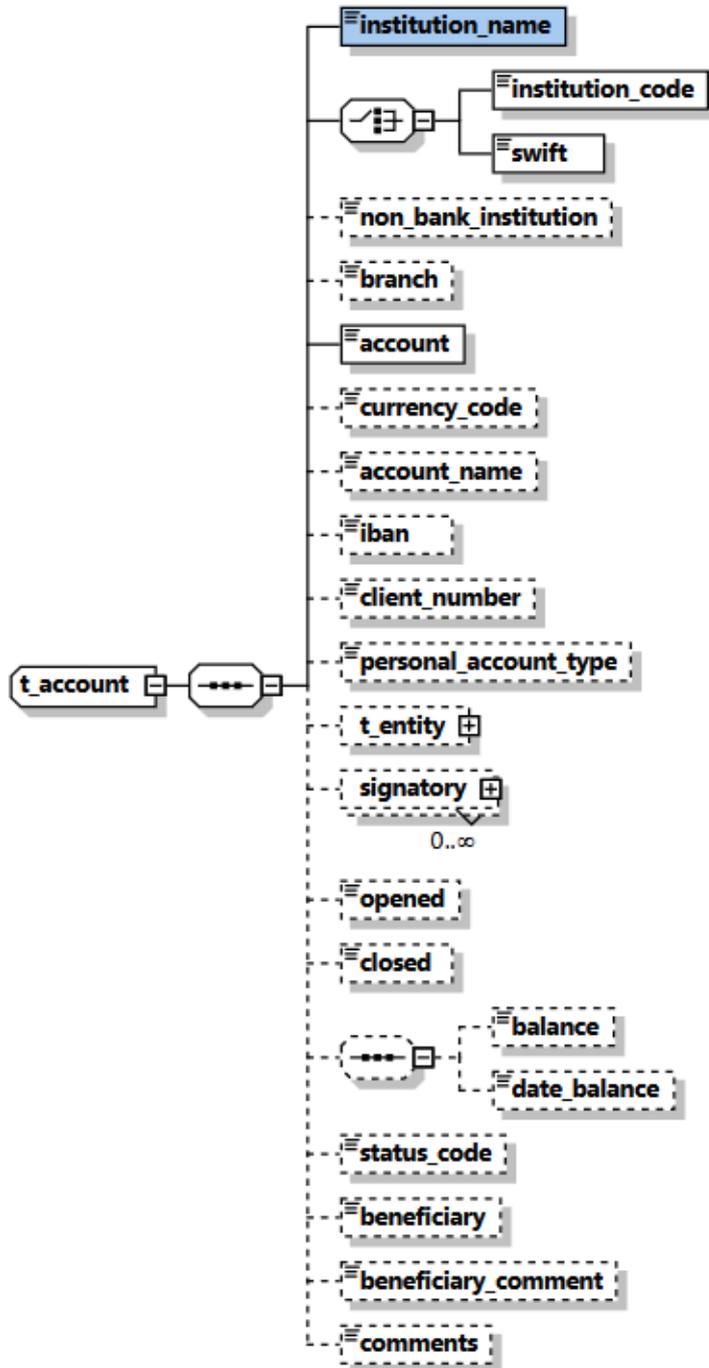


Figure 4: Overview type t_account

Standard XML Reporting Instructions and Specifications

Name	Description	Length	Req.	Example
Institution_name (New in Schema 3.0)	The name of the Bank	255	Y	Bank of ...
institution_code	Institution code issued by FIU	50	Y (one of them)	-
swift	SWIFT code according to ISO 9362	11		ATTBVI
Non_banking_institution (New in Schema 3.0)	A flag to cover cases where the account belongs to non-banking institution	Boolean	N	Investment company
Branch (Increased to 255 chars in Schema 3.1)	Branch code or name	255	N	ABX12
Account (Increased to 50 chars in Schema 3.1)	Account number	50	Y	31032027088
currency_code	Currency the account is kept in	Enumeration	N	See 5.13 Currencies
account_name (Increased to 255 chars in Schema 3.1)	This is a free text field used to "Label" the account; for example a saving book account with anonymous owner, or an Entity account dedicated to Invoices, etc.	255	N	Private savings account
iban	IBAN	34	N	LT601010012345678901
client_number	Client number	30	N	31032027088
personal_account_type	Account Type	Enumeration	N	See 5.3 Account type
t_entity	Business entity owning the account	type t_entity	N	See 4.22 Type t_entity
signatory	Person(s) with access to the account.	Subnode (can be repeated to specify multiple signatories). Note that the node t_person is of type t_person	N	-
is_primary	Identifies the primary account holder. Only one signatory may be marked as <i>is_primary</i> . Has to be 'true' when node is set.	fixed = 1	N	
t_person	Subnode holding detailed information about the signatory. Mandatory for signatories in the XML report.	type t_person	Y	See 4.32 Type t_person
role (New in Schema 4.0)	Subnode holding enumeration about the role of current signatory with the account. report.	type "account_pers on_role_type"	N	See 5.154.31 Type t_person_my_client
opened	Open account date	DateTime	N	2006-03-25T00:00:00



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closed	Date account closed	DateTime	N	2006-03-25T00:00:00
balance	The account balance after the transaction was conducted.	Decimal	N	5000.50
date_balance (New in Schema 4.0)	A date field to specify the date of the reported balance. Application will show balance history	Date	N	
status_code	Account status when transaction was initiated	Enumeration	N	See 5.4 Account status type
beneficiary	Ultimate beneficiary of the account	50	N	Ella Machera
beneficiary_comment	Any special remark on the beneficiary	255	N	
comments (New in Schema 4.0)	Generic comments elements	4000	N	

Table 4: Details type t_account



4.21 Type t_entity_my_client

The structure of this type is similar to that of type *t_entity*, with some added restrictions i.e. some nodes which are not mandatory in *t_entity* may be mandatory in *t_entity_my_client*. These restrictions are defined by the local FIU.

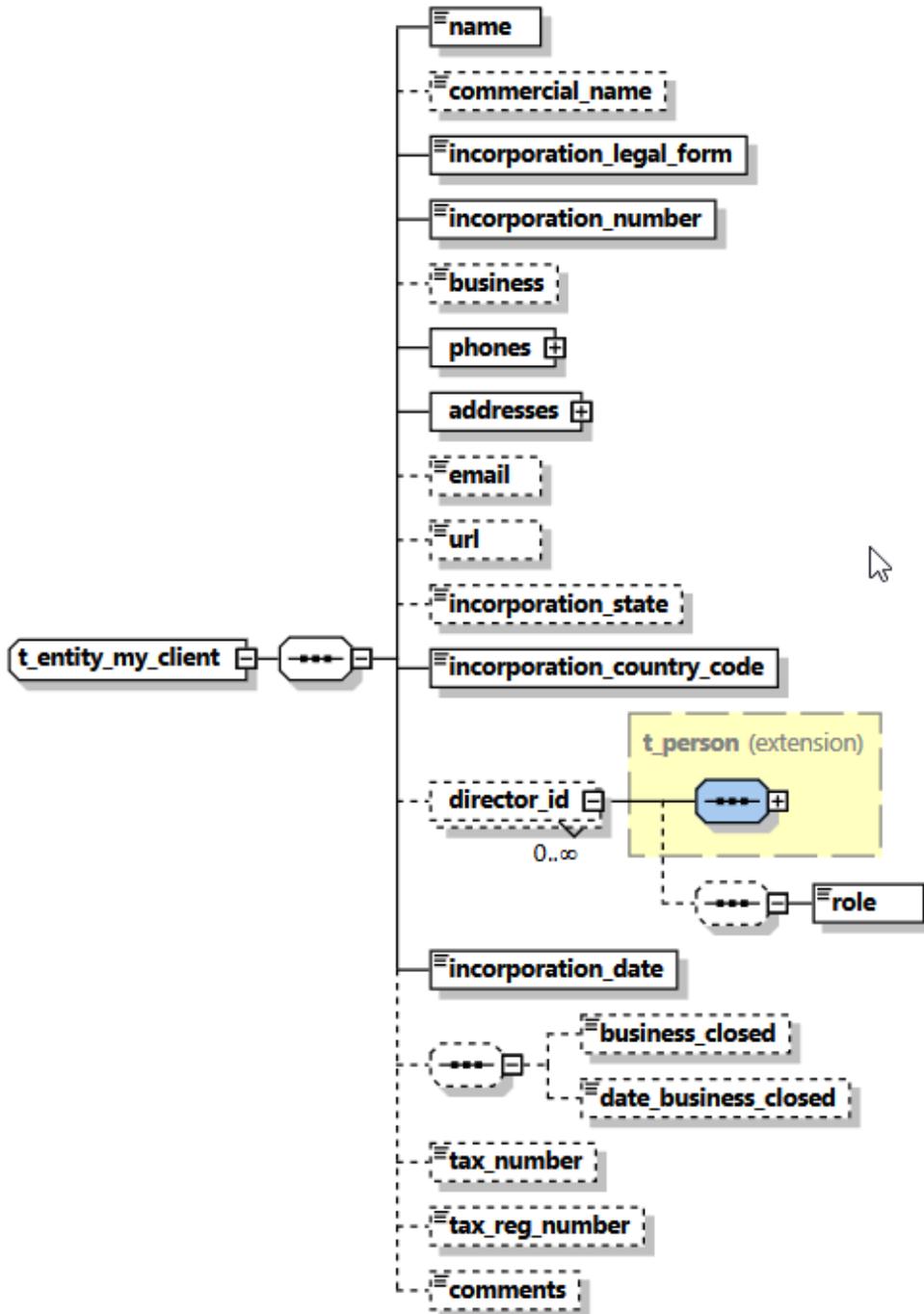


Figure 5: Overview type t_entity_my_client

Name	Description	Length	Req.	Example
Name	Name of Entity	255	Y	DoeComp
Commercial_name	The “traded as” name of the entity	255	N	
Incorporation_legal_form	The legal form of the entity	Type “legal_form_type”	Y	See 5.11 Ltd., GmbH,
incorporation_number (Extended to 50 chars)	The registration number of the entity/"company" in the relevant authority (e.g. Chamber of Commerce)	50	Y	-
Business	Business area of the entity	255	N	Free text describing business e.g. IT Services, Imports, Export etc.
Phones	A Holder node for a 1..many Phones		Y	
Phone	One occurrence of phone node	Type “t_phone”	Y When “Phones” is provided	See 4.6 Type t_phone
Addresses	A Holder node for a 1..many Addresses		Y	
Address	One occurrence of address node	Type “t_address”	Y When “Addresses” is provided	4.5 Type t_address
Email (New in Schema 3.1)	Email address	email_address (255)	N	test@mail.com
url	Entity web address	255	N	www.entity.com
incorporation_state	Name of the State	255	N	-
incorporation_country_code	Country	Enumeration	Y	See 5.14 Country Codes
director_id This node has in 4.0 a new optional element “ role ” to describe the role of the subject in the entity from type entity person role type	Individuals authorized	type t_person_my_client (Subnode can be repeated for multiple persons)	N	See 4.31 Type t_person_my_client
incorporation_date (New in schema 4.0)	Incorporation registration date	Date	Y	



Standard XML Reporting Instructions and Specifications

business_closed (New in schema 4.0)	Boolean to indicate if the company is closed down	Boolean	N	
date_business_closed (New in schema 4.0)	If entity is closed then specify close date if any.	Date	N	
tax_numebr (New in schema 4.0)	The entity tax number	100	N	
tax_registration_number (New in schema 4.0)	Registration number of the entity by the Tax auth.	100	N	
Comments (New in schema 4.0)	Generic comments field	4000	N	

Table 5: Details type t_entity_my_client



4.22 Type t_entity

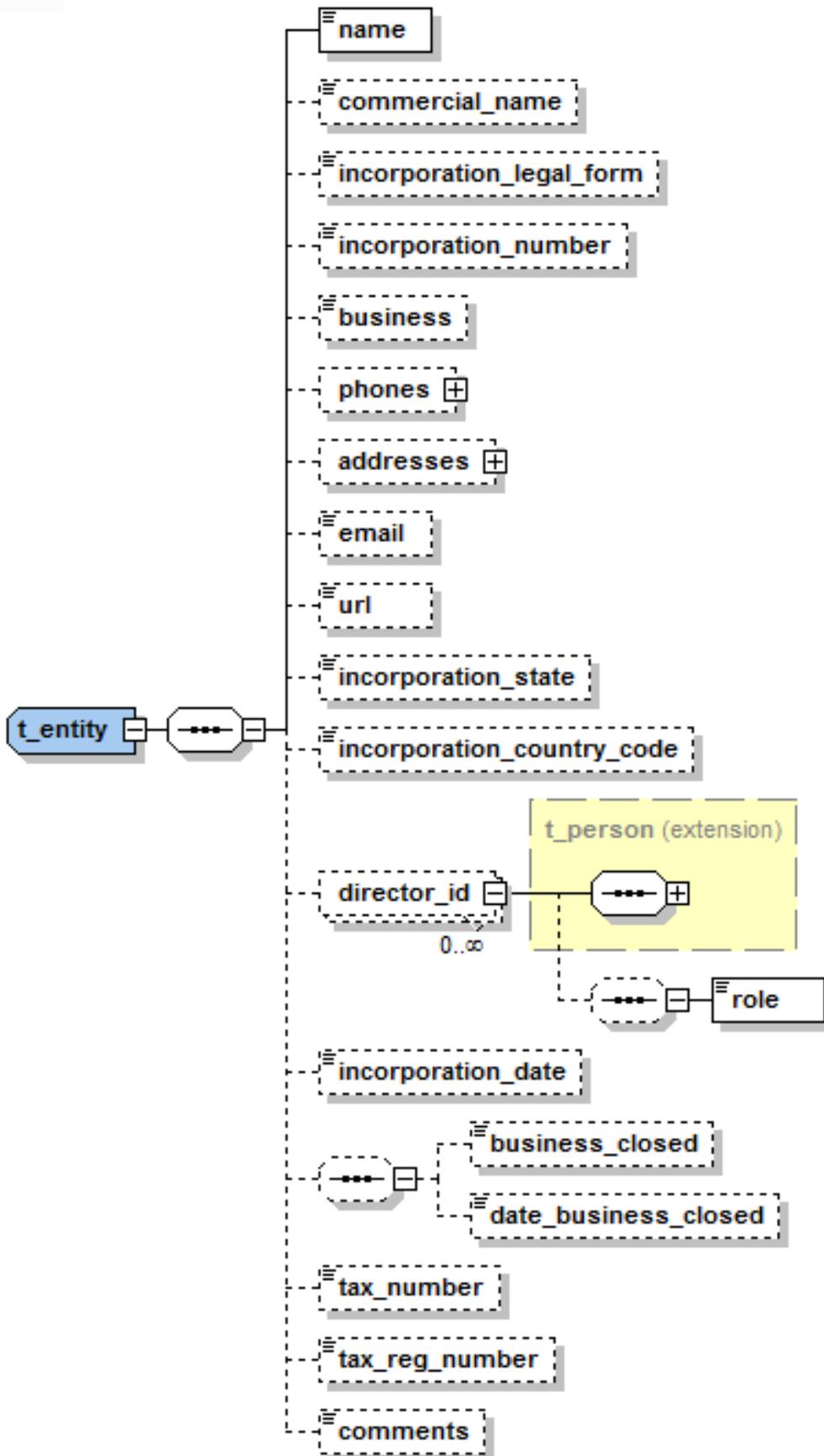


Figure 6: Overview type t_entity

Standard XML Reporting Instructions and Specifications

Name	Description	Length	Req.	Example
Name	Name of Entity	255	Y	DoeComp
Commercial_name	The “traded as” name of the entity	255	N	
Incorporation_legal_form (New in Schema 3.0)	The legal form of the entity	Type “legal_form_type”	N	Ltd., GmbH,
incorporation_number (Extended to 50 chars)	the registration number of the entity/"company" in the relevant authority (e.g. Chamber of Commerce)	50	N	-
Business	Business area of the entity	255	N	Text describing business e.g. IT Services,Imp, Exp etc.
Phones (New in Schema 3.0)	A Holder node for a 1..many Phones		N	
Phone	One occurrence of phone node	Type “t_phone”	Y When “Phones” is provided	See 4.6 Type t_phone
Addresses (New in Schema 3.0)	A Holder node for a 1..many Addresses		N	
Address	One occurrence of address node	Type “t_address”	Y When “Addresses” is provided	4.5 Type t_address
Email (New in Schema 3.1)	Email address	email_address (255)	N	test@mail.com
url	Entity web address	255	N	www.entity.com
incorporation_state	Name of the State	255	N	-
incorporation_country_code	Country	Enumeration	N	See 5.14 Country Codes
director_id This node has in 4.0 a new optional element “role” to describe the role of the subject in the entity from type entity_person_role_type	Individuals authorized	type t_person	N	See 4.32 Type t_person
incorporation_date (New in Schema 4.0)	Incorporation registration date	Date	N	
business_closed (New in Schema 4.0)	Boolean to indicate if the	Boolean	N	



Standard XML Reporting Instructions and Specifications

	company is closed down			
date_business_closed (New in Schema 4.0)	If entity is closed then specify close date if available	Date	N	
tax_numebr (New in Schema 4.0)	The entity tax number	100	N	
tax_registration_number (New in Schema 4.0)	The registration number of the entity by the Tax authorities	100	N	
comments	Generic comments field	4000	N	

Table 6: Details type t_entity



4.31 Type *t_person_my_client*

The structure of this type is similar to that of type *t_person*, with some added restrictions i.e. some nodes which are not mandatory in *t_person* may be mandatory in *t_person_my_client*. These restrictions are defined by the local FIU

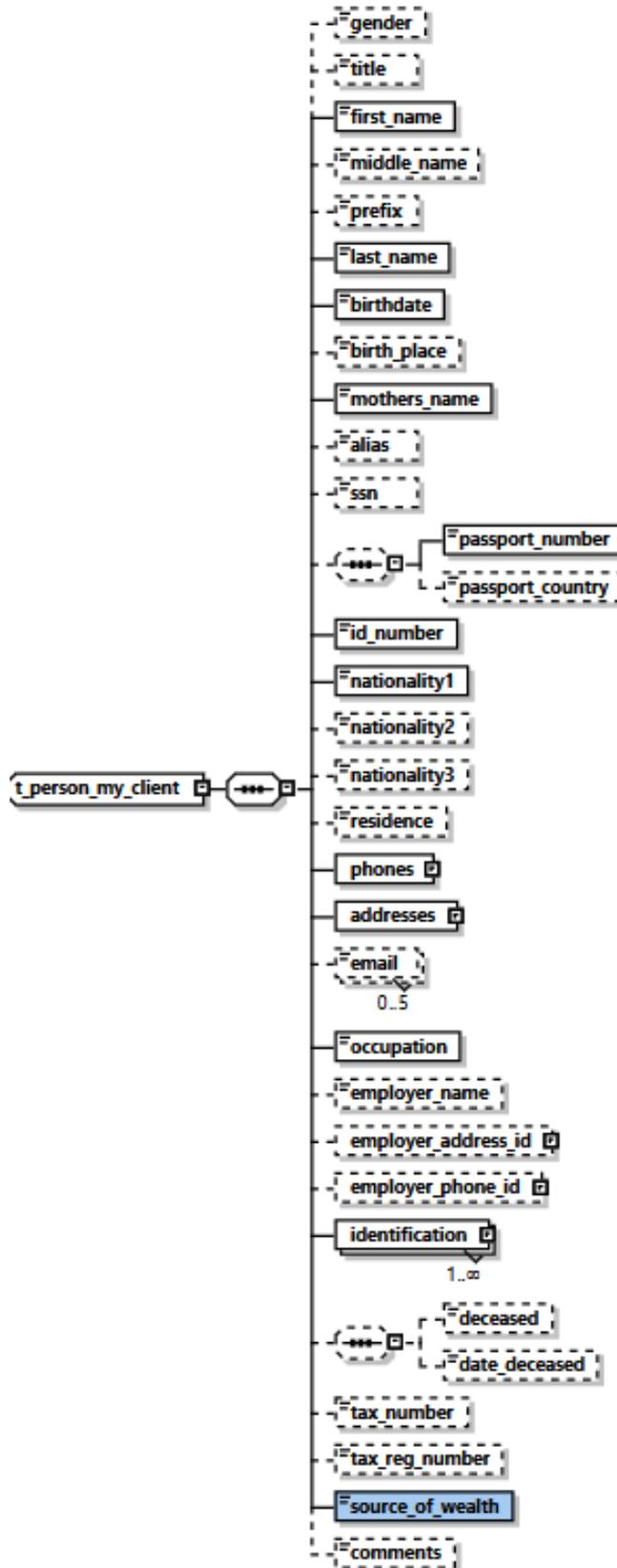


Figure 7: Overview type *t_person_my_client*



Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	M or F
title	Title	30	N	Dr.
first_name	First name	100	Y	Elyas
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Machera
Reporting entity should submit <i>birthdates</i> for persons who are their customers.				
birthdate	Birth date	DateTime	Y	1953-01-25T00:00:00
Birth_place (New in Schema 3.0)	Place of birth	255	N	Vienna
mothers_name	Can be used as father, mother, second name, Other name, etc. as per country's regulation	100	Y	Smith
Alias	Name in original Arabic script	100	N	
SSN (New in Schema 3.0)	Social Security Number	25	N	National ID number which uniquely identify a person
passport_number (New in Schema 4.0)	No. of passport	25	N	Passport num to be used as unification rule
passport_country (New in Schema 4.0)	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule
id_number (New in Schema 4.0)	National ID Card Number. For foreigners, please provide passport number and fill in passport_number and Passport_country as well.	25	Y	90808080
Phones (New in Schema 3.0)	A Holder node for a 1..many Phones		Y	
Phone	One occurrence of phone node	Type t_phone	Y When "Phones" is provided	See 4.6 Type t_phone



Standard XML Reporting Instructions and Specifications

Addresses (New in Schema 3.0)	A Holder node for a 1..many Addresses		Y	
Address	One occurrence of address node	Type t_address	Y When “Addresses” is provided	4.5 Type t_address
Nationality1	Country of Nationality (1)	Enumeration	Y	See 5.14 Country Codes
Nationality2 (New in Schema 3.0)	Country of Nationality (2)	Enumeration	N	See 5.14 Country Codes
Nationality3 (New in Schema 3.0)	Country of Nationality (3)	Enumeration	N	See 5.14 Country Codes
residence	Country of residence	Enumeration	N	See 5.14 Country Codes
Email (Enlarged in Schema 3.1 to 255 chars)	Email address	Type email_address (255)	N	test@mail.com
Occupation (enlarged in 3.1)	Occupation	255	Y	Financial Analyst
employer_name (enlarged in 3.1)	Employer’s name	255	N	FIU
employer_address_id	Employer’s address	type t_address	N	4.5 Type t_address
employer_phone_id	Employer’s phone	type t_phone	N	See 4.6 Type t_phone
identification	Subnode(s) for identification documents	type t_person_identification (This subnode can be repeated to specify multiple identification documents)	Y	See 4.8 Type t_person_identification
deceased (New in schema 4.0)	A Boolean to indicated if person has passed away	Boolean	N	
deceased_date (New in schema 4.0)	If deceased, then RE can report deceased date if known as well	Date	N	
tax_numebr (New in schema 4.0)	The person tax number	100	N	
tax_reg_numebr (New in schema 4.0)	The person tax reg. number by tax auth.	100	N	



Standard XML Reporting Instructions and Specifications

source_of_wealth (New in schema 4.0)	Free text description of the person source of wealth	255	Y	
comments (New in schema 4.0)	Generic comments field	4000	N	

Table 7: Details type t_person_my_client



4.32 Type t_person

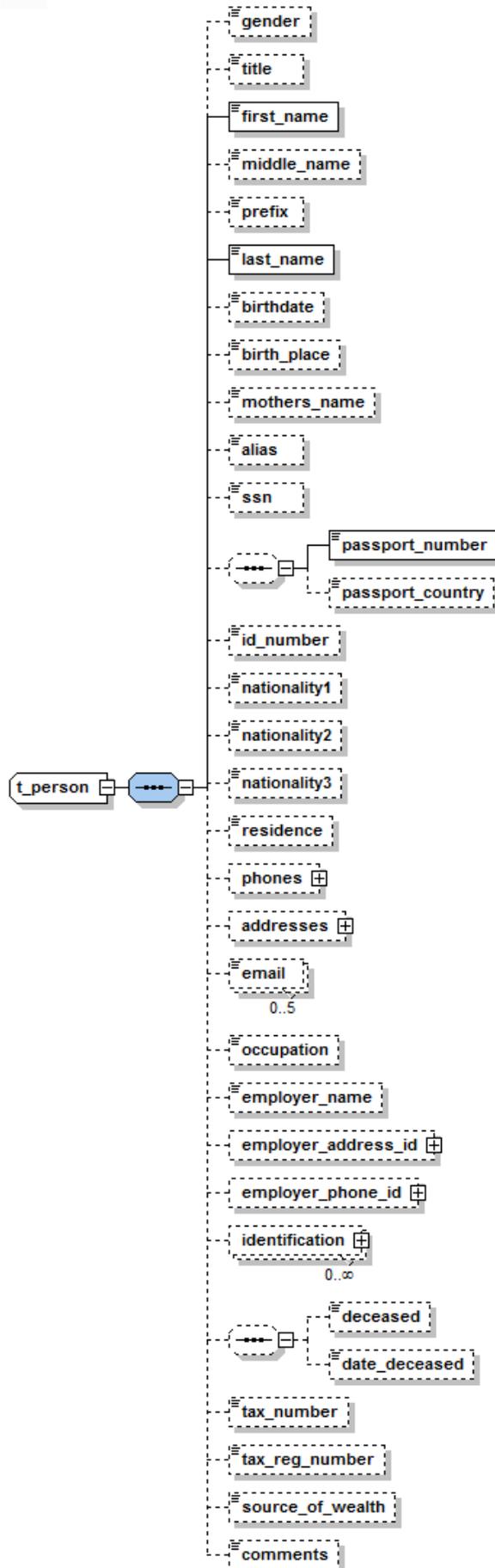


Figure 8: Overview type t_person



Standard XML Reporting Instructions and Specifications

Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	M or F
title	Title	30	N	Dr.
first_name	First name	100	Y	Elyas
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Machera
birthdate	Birth date	DateTime	N	1953-01-25T00:00:00
Birth_place (New in Schema 3.0)	Place of birth	255	N	Vienna
mothers_name	Can be used as father, mother, second name, Other name, etc. as per country's regulation	100	N	Smith
alias	Alias, Known As, ..etc	100	N	
SSN (New in Schema 3.0)	Social Security Number	25	N	National ID number which uniquely identify a person
passport_number (New in Schema 4.0)	No. of passport	25	N	Passport num to be used as unification rule
passport_country (New in Schema 4.0)	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule
id_number (New in Schema 4.0)	Any additional identification number rather than ssn and passport	25	N	Additional identification number
Phones (New in Schema 3.0)	A Holder node for a 1..many Phones		N	
Phone	One occurrence of phone node	Type "t_phone"	N	See 4.6 Type t_phone
Addresses (New in Schema 3.0)	A Holder node for a 1..many Addresses		N	
Address	One occurrence of address node	Type t_address	N	4.5 Type t_address
Nationality1	Country of Nationality (1)	Enumeration	N	See 5.14 Country Codes
Nationality2 (New in Schema 3.0)	Country of Nationality (2)	Enumeration	N	See 5.14 Country Codes
Nationality3 (New in Schema 3.0)	Country of Nationality (3)	Enumeration	N	See 5.14 Country Codes



Standard XML Reporting Instructions and Specifications

residence	Country of residence	Enumeration	N	See 5.14 Country Codes
Email <i>(Enlarged in Schema 3.1 to 255 chars)</i>	Email address	255	N	test@mail.com
Occupation <i>(enlarged in 3.1 to 255)</i>	Occupation	255	N	Financial Analyst
employer_name <i>(enlarged in 3.1 to 255)</i>	Employer's name	255	N	FIU
employer_address_id	Employer's address	type t_address	N	4.5 Type t_address
employer_phone_id	Employer's phone	type t_phone	N	See 4.6 Type t_phone
identification	Subnode(s) for identification documents	type t_person_ identificat ion (This subnode can be repeated to specify multiple identification documents)	N	See 4.8 Type t_person_identi fication
deceased (New in schema 4.0)	A Boolean to indicated if person has passed away	Boolean	N	
deceased_date (New in schema 4.0)	If deceased, then RE can report deceased date if known as well	Date	N	
tax_numebr (New in schema 4.0)	The person tax number	100	N	
tax_reg_numebr (New in schema 4.0)	The person tax reg. number by tax auth.	100	N	
source_of_wealth (New in schema 4.0)	Free text description of the person source of wealth	255	N	
comments (New in schema 4.0)	Generic comments field	4000	N	

Table 8: Details type t_person



4.33 Type *t_person_registration_in_report*

The structure of this type is similar to that of type *t_person*, but dedicated to the reporting person details, so FIUs can add some extra restrictions for reporting persons.



Name	Description	Length	Req.	Example
gender	Gender	Enumeration	N	M or F
title	Title	30	N	Dr.
first_name	First name	100	Y	Elyas
middle_name	Middle name	100	N	X.
prefix	Prefix name	100	N	Van, Von,...
last_name	Last name	100	Y	Machera
birthdate	Birth date	DateTime	N	1953-01-25T00:00:00
Birth_place (New in Schema 3.0)	Place of birth	255	N	Vienna



Standard XML Reporting Instructions and Specifications

mothers_name	Can be used as father, mother, second name, Other name, etc. as per country's regulation	100	N	Smith
alias	Alias, Known As, ..etc	100	N	
SSN (New in Schema 3.0)	Social Security Number	25	N	National ID number which uniquely identify a person
passport_number (New in Schema 4.0)	No. of passport	25	N	Passport num to be used as unification rule
passport_country (New in Schema 4.0)	Passport issue country (Can be reported only when there is a passport number)	25	N	Passport country to be used as unification rule
id_number (New in Schema 4.0)	Any additional identification number rather than ssn and passport	25	Y	Additional identification number
Phones (New in Schema 3.0)	A Holder node for a 1..many Phones		N	
Phone	One occurrence of phone node	Type "t_phone"	N	See 4.6 Type t_phone
Addresses (New in Schema 3.0)	A Holder node for a 1..many Addresses		N	
Address	One occurrence of address node	Type t_address	N	4.5 Type t_address
Nationality1	Country of Nationality (1)	Enumeration	N	See 5.14 Country Codes
Nationality2 (New in Schema 3.0)	Country of Nationality (2)	Enumeration	N	See 5.14 Country Codes
Nationality3 (New in Schema 3.0)	Country of Nationality (3)	Enumeration	N	See 5.14 Country Codes
residence	Country of residence	Enumeration	N	See 5.14 Country Codes
Email (Enlarged in Schema 3.1 to 255 chars)	Email address	255	N	test@mail.com
Occupation (enlarged in 3.1 to 255)	Occupation	255	N	Financial Analyst
employer_name (enlarged in 3.1 to 255)	Employer's name	255	N	FIU
employer_address_id	Employer's address	type t_address	N	4.5 Type t_address
employer_phone_id	Employer's phone	type t_phone	N	See 4.6 Type t_phone



Standard XML Reporting Instructions and Specifications

identification	Subnode(s) for identification documents	type t_person_identification (This subnode can be repeated to specify multiple identification documents)	Y	See 4.8 Type t_person_identification
deceased (New in schema 4.0)	A Boolean to indicated if person has passed away	Boolean	N	
deceased_date (New in schema 4.0)	If deceased, then RE can report deceased date if known as well	Date	N	
tax_numebr (New in schema 4.0)	The person tax number	100	N	
tax_reg_numebr (New in schema 4.0)	The person tax reg. number by tax auth.	100	N	
source_of_wealth (New in schema 4.0)	Free text description of the person source of wealth	255	N	
comments (New in schema 4.0)	Generic comments field	4000	N	



4.4 Type t_party

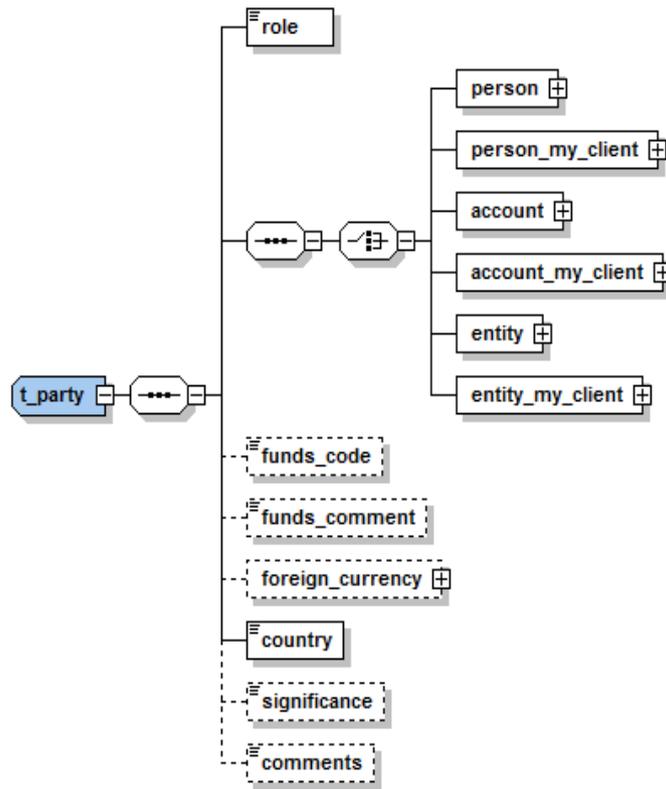


Figure 9: Overview type t_party

Name	Description	Length	Req.	Example
role	Subject role in the transaction	Enumeration	Y	Buyer, Seller,..
Subject: One occurrence of the supported subject types must be included.				
Person	Involved Person	Type "t_person"	Y	See 4.32 Type t_person
Person_my_client	Involved Person	Type "t_person_my_client"	Y	See 4.31 Type t_person_my_client
account	Involved Account	Type "t_account"	Y	See 4.12 Type t_account
account_my_client	Involved Account	Type "t_account_my_client"	Y	See 4.11 Type t_account_my_client
entity	Involved Entity	Type "t_entity"	Y	See 4.22 Type t_entity



Standard XML Reporting Instructions and Specifications

entity_my_client	Involved Entity	Type "t_entity_my_client"	Y	
funds_code	Type of funds used in initiating transaction	Enumeration	N	See 5.2 Funds type
funds_comment	Description, if funds_code is "O" (Other).	255	N	-
foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	type t_foreign_currency	N	See 4.7 Type t_foreign_currency
country	Country of the transaction	Enumeration	Y	See 5.14 Country Codes
significance (New in schema 4.0)	The significance of the subject in the transaction	Integer	N	0-10
comments (New in schema 4.0)	Generic comments	4000	N	

Table 9: Details type t_party



4.5 Type t_address

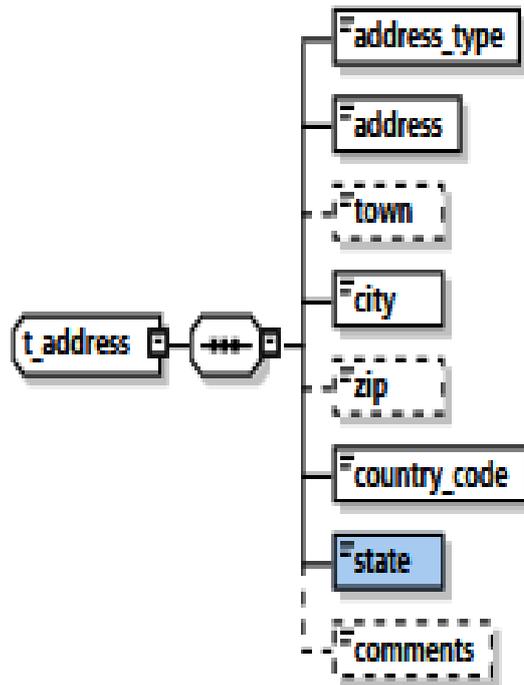


Figure 10: Overview type t_address

Name	Description	Length	Req.	Example
Address_type (New in Schema 3.0)	The contact type of the address	Enumeration	Y	Private, Business,.. See 5.9 Contact Type
Address	Street name and house number	100	Y	-
Town	Name of Town/district/.. as part of a City	255	N	
City	City	255	Y	-
Zip	Zip Code	10	N	A-1220
country_code	Country	Enumeration	Y	See 5.14 Country Codes
State	State	255	Y	
comments (New in schema 4.0)	Generic comments	4000	N	

Table 10: Details type t_address



4.6 Type t_phone

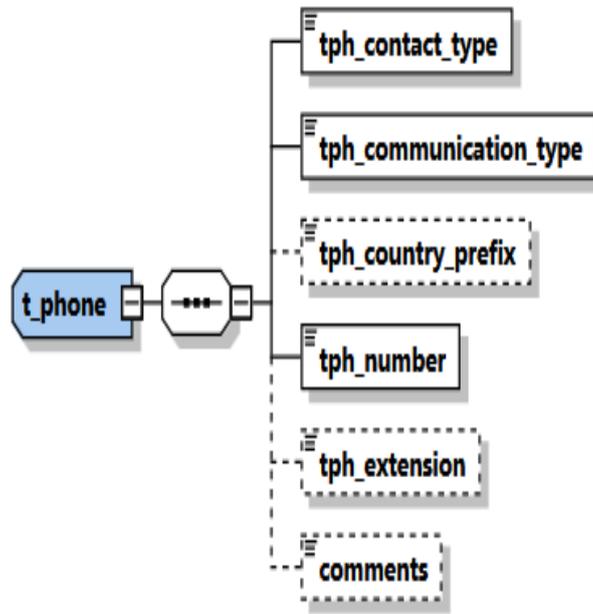


Figure 11: Overview type t_phone

Name	Description	Length	Req.	Example
tph_contact_type (New in Schema 3.0)	The contact type of the Phone	Enumeration	Y	Private, Business,.. See 5.9 Contact Type
tph_communication_type (New in Schema 3.0)	The comm.. type of the Phone	Enumeration	Y	Landline, mobile ,fax,.. 5.10 Communication Type
tph_country_prefix	Country phone code	4	N	0043
tph_number	Phone number	50	Y	6655778
tph_extension	Phone's extension	10	N	7789
comments (New in schema 4.0)	Generic comments	4000	N	

Table 11: Details type t_phone



4.7 Type t_foreign_currency

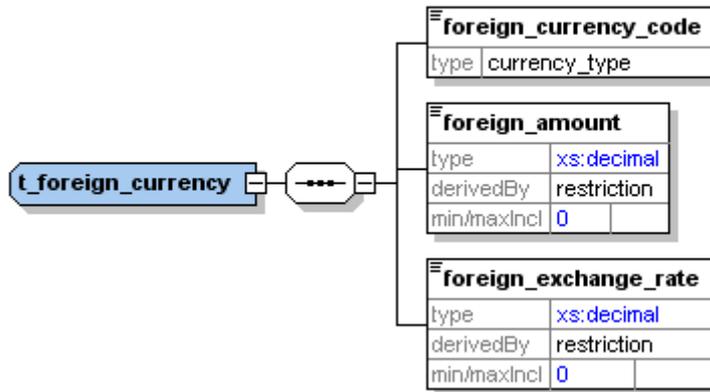


Figure 12: Overview type t_foreign_currency

Name	Description	Length	Req.	Example
foreign_currency_code	Currency Code according to ISO 4217	Enumeration	Y	See 5.13 Currencies
foreign_amount	Transaction amount in foreign currency	Decimal	Y	1300.50
foreign_exchange_rate	Exchange rate which has been used for transaction	Decimal	Y	1.45

Table 12: Details type t_foreign_currency

4.8 Type t_person_identification

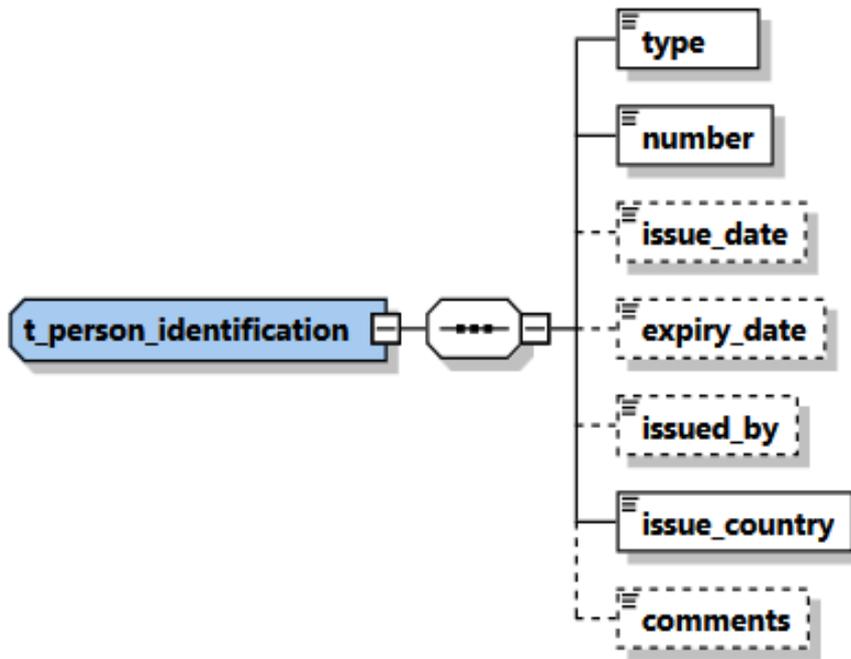


Figure 20: Overview type t_person_identification

Name	Description	Length	Req.	Example
type	Document type	Enumeration	Y	5.5 Identifier type
number	ID of the identification document	255	Y	AT08154711
issue_date	Identification document issue date	DateTime	N	2001-12-17T09:30:47
expiry_date	Identification document expiry date	DateTime	N	2012-01-01T00:00:00
issued_by New in Schema 3.1	Name of Authority issued the document	255	N	Interior Ministry
issue_country	Country where the document was issued	Enumeration	Y	See 5.14 Country Codes
comments	Generic comments field	4000	N	

Table 20: Type t_person_identification

4.9 Type report_party_type

New type in schema 4.0. Represents an involved subject in a report and its details.

Subject can be a Person, an Account or an Entity – one of them can be included per involved party.



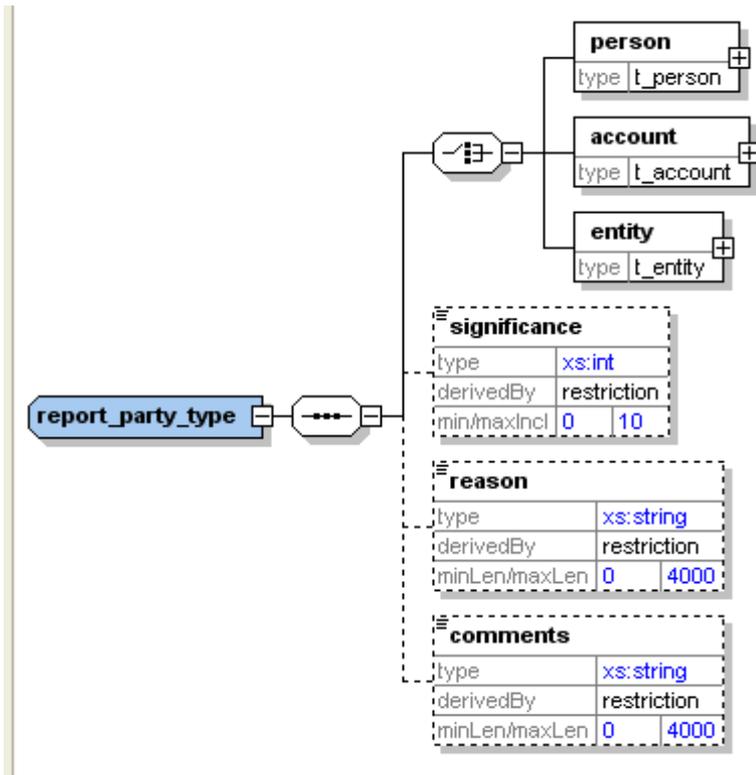


Figure 21: Overview type report_party_type

Name	Description	Length	Req.	Example
One of the following subject nodes must be included when activity node is used				
person	Represents an involved person node	t_person type	Y	
account	Represents an involved account	t_account type	Y	
entity	Represents an involved entity	t_entity type	Y	
significance	The significance of the subject in the report	Integer	N	0 - 10
reason	Why the subject is involved in the current report	4000	N	
comments	Generic comments element	4000	N	

Table 21: Type report_party_type



5. Lookup Values

As per schema 4.0, all schema lookups are defined as enumerations. goAML application will introduce an option to update the schema automatically with the lookup codes defined by the FIU in the “Lookup Master” screen. Reporting entities will not be able anymore to submit reports with undefined lookup codes.

Most of the lookup enumerations mentioned below are just predefined suggestions. Feel free to adjust them using “Lookup Master” screen.

5.1 Submission type – goAML Enumeration

Value	Description
E	Electronically
M	Manually
-	UNKNOWN

Table 13: submission type

5.2 Funds type

* The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
E	Bank draft
BB	Bearer bond
CC	Bill of exchange
K	Cash
EE	Cash (different currency than the from/to side)
FF	Cash (different denomination than the from/to side)
D	Casino chips
HH	Certificate of deposit
P	Cheque
JJ	Counterfeit
KK	Credit
LL	Credit card
C	Currency exchange
A	E-currency (funds held in digital currency, Bullions, etc.)
B	Electronic funds transfer
NN	Electronically held funds
L	From Account
M	Hotel Transaction
H	Life insurancy policy
F	Money order
N	NGOs, Charity
O	Mobile Payment
QQ	Other negotiable instrument
RR	Promissory note
I	Real estate
J	Securities
G	Traveller's cheques
-	UNKNOWN



Table 14: Funds type

5.3 Account type

*The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
AA	Arrangement
A	Business
AC	Credit
B	Current
D	Foreign currency account
E	Mortgage
F	Other
G	Personal account
H	Safe deposit box
C	Savings
J	Term Deposit
K	Trading Account
L	Trust Account
-	UNKNOWN

Table 15: Account type

5.4 Account status type

*The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
A	Active
D	Closed by customer
E	Closed by reporting entity
F	Closed due to inactivity
C	Dormant
B	Inactive
G	Inactive (no transactions within 1 year)
H	New account
I	No account associated, other relationship with customer
-	UNKNOWN

Table 16: Account status type

5.5 Identifier type

*The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
B	Birth Certificate
A	National Identity Card
H	Other
F	Proof of address (utility bills, etc.)
G	Suspected Fraudulent



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-	UNKNOWN
C	Valid Current Passport
D	Valid Driving licence
AA	Voter ID Card

Table 17: Identifier type

5.6 Transmode Code

*The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
B	ATM
D	Courier
AA	Credit card purchase
AB	Currency exchange
AC	Denomination exchange
AD	Deposit
AF	domestic bank to bank
C	Electronic transaction
A	In-branch/Office
AE	Inquiry
AG	Loan
AH	Loan repayments
E	Mail deposit
F	Other
AJ	Proposed transactions
AK	Purchase
AL	Purchase of negotiable instrument
AM	Refund
AN	Sale
AO	Sale of negotiable instrument
AP	Transfer (to other party)
AQ	Transfer (to self, other account)
AR	Transport across border (BCR)
-	UNKNOWN
AS	Void/cancellation
AT	Wire transfer
AU	Withdrawal

Table 25: Transmode Code

5.7 Transaction Item Status

*the following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
A	Bought
I	Charged / Inscribed
G	Destroyed
F	Donated
E	Exchanged



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D	Hired
C	Let
H	Other
B	Sold
-	UNKNOWN

Table 26: Transaction Item Status

5.8 Report Code – goAML Enumeration

Value	Description
AIF	Additional Information File
CTR	CTR
IRD	Incoming Request Domestic
IRI	Incoming Request International
M	Manual
ORD	Outgoing Request Domestic
ORI	Outgoing Request International
STR	STR
SAD	Suspicious Activity Disclosure
TFR	Terrorism Financing Report
-	UNKNOWN

Table 27: Report Code

5.9 Contact Type

*the following list is a suggestion. Actual codes defined by FIU in Lookup Master will be added automatically to the Schema by goAML client application.

Value	Description
B	Business
P	Private
O	Operational
R	Registered
-	Unknown

Table 28: Contact Type

5.10 Communication Type

*the following list is a suggestion. Actual codes defined by FIU in Lookup Master will be added automatically to the Schema by goAML client application.

Value	Description
F	Fax
L	Landline Phone
M	Mobile Phone
P	Pager
S	Satellite Phone
-	UNKNOWN

Table 29: Communication Type



5.11 Entity Legal Form Type

* The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
AB	Company
C	Corporation
R	Foundation
Y	Funds
D	General Partnership
X1	Global Business Cat 1
X2	Global Business Cat 2
E	Ltd.
F	Incorporated
Q	International Business Company
H	Limited Liability Company
I	Limited Liability Partnership
J	Limited Partnership
K	Look Through Company
L	Other
M	Partnership
A	Private Limited
O	Proprietary Limited Company
Z	Protected Cell Company
B	Public Limited
AA	Societe Civile
W	Societe Commerciale
S	Sole Proprietorship
T	Trusts
-	Unknown
U	Unlimited
A1	Joint Liability Company
A2	Special Partnership Company / Limited Partnership
A3	Limited Liability Company Public
A4	Limited Liability Company Private
A5	Joint Stock Company
A6	Cooperative
A7	Foundations
A8	Association
A9	Audit
A10	Notary
A11	Co-partnership
A12	Non-registered Association
A13	Religious Organization
A14	Governmental Institution
A15	Political
A16	Public Institution
A17	Branch



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A18	Legal Person Under the Public Law
A19	Other

Table 30: Legal Form Type

5.12 Transaction Item Type

* The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
A	Art
B	Bonds, stocks, or other securities
C	Collectibles
G	Consumer goods (electronics, furniture, etc.)
E	Equipment
F	Furniture
I	Industrial equipment
IP	Intellectual property rights
J	Jewellery, precious metals, precious stones
O	Other
P	Property
PC	Purchase into company
RE	Real estate
S	Services
-	Unknown
V	Vehicle
W	Weapon

Table 31: Transaction Item Type

5.13 Currencies - Enumeration

World Currencies (and their abbreviations) listed by ISO 4217

AFN	Afghan afghani
AFA	Afghani
DZD	Algerian Dinar
ADF	Andorran franc (1:1 peg to the French franc)
ADP	Andorran Peseta ENG
AOA	Angolan kwanza
AOR	Angolan kwanza readjustado
ARL	Argentine peso ley
ARS	Argentinean Nuevo Peso
ARP	Argentinean Peso
AWG	Aruban Guilder
ARA	Austral
AUD	Australian Dollar
AZM	Azerbaijani Manat
AZN	Azerbaijani manat
BSD	Bahamian Dollar
BHD	Bahraini Dinar
THB	Baht



Standard XML Reporting Instructions and Specifications

PAB	Balboa
BBD	Barbados Dollar
BYR	Belarussian Rouble
BEF	Belgian Franc (also known as Frank - no longer in use)
BZD	Belize Dollar
BMD	Bermudian Dollar
VEB	Bolivar
BOV	Bolivian Mvdol (funds code)
BOP	Bolivian Peso
BOB	Boliviano
BRN	Brazilian cruzado novo
BRE	Brazilian cruzeiro
BRB	Brazilian cruzeiro novo
BND	Brunei Dollar
BGN	Bulgarian lev
BIF	Burundi Franc
CAD	Canadian Dollar
KYD	Cayman Islands Dollar
GHC	Cedi
CLP	Chilean Peso
XTS	Code reserved for testing purposes
COP	Colombian Peso
KMF	Comorian Franc
CDF	Congolese franc
BEC	Convertible Belgian Franc (no longer in use)
BAM	Convertible Mark
NIC	Córdoba
NIO	Cordoba oro
CRC	Costa Rican Colón
HRD	Croatian Dinar
HRK	Croatian Kuna
BRC	Cruzeiro
BRR	Cruzeiro Real
CUC	Cuban convertible peso
CUP	Cuban Peso
CYP	Cypriot Pound
CZK	Czech Koruna
GMD	Dalasi
DKK	Danish Krone
KPW	Democratic People's Republic of Korean Won
DEM	Deutsche Mark (no longer in use)
DJF	Djibouti Franc
STD	Dobra
DOP	Dominican Republic Peso
AMD	Dram
NLG	Dutch Guilder (no longer in use)
XCD	East Caribbean Dollar
XEU	ECU (not an official currency, replaced by the Euro)
ECV	Ecuador Unidad de Valor Constante (funds code) (discontinued)
EGP	Egyptian Pound



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GQE	Ekwele
SVC	El Salvadorian Colón
ERN	Eritrean Nakfa
CVE	Escudo Caboverdiano
ETB	Ethiopian Birr
EUR	Euro (replacement name for the ECU)
XBA	European Composite Unit
XBB	European Monetary Unit
XBD	European Unit of Account 17
XBC	European Unit of Account 9
FKP	Falkland Pound
FJD	Fiji Dollar
BEL	Financial Belgian Franc (no longer in use)
HUF	Forint
DDM	Former East German Mark, DEM subsequently in use
XAF	Franc de la Communauté financière africaine
XPF	Franc des Comptoirs français du Pacifique
FRF	French Franc (no longer in use)
GHS	Ghanaian cedi
GIP	Gibraltar Pound
XAU	Gold
XFO	Gold franc (special settlement currency)
HTG	Gourde
GRD	Greek Drachma (no longer in use)
PYG	Guarani
GWP	Guinea-Bissau Peso
GNF	Guinean franc
GNE	Guinean syli
GYD	Guyana Dollar
HKD	Hong Kong Dollar
UAH	Hryvna
ISK	Icelandic Króna
ISJ	Icelandic old krona
UKP	Incorrectly used for GBP
INR	Indian Rupee
XDR	International Monetary Fund Special Drawing Rights
PEI	Inti
IRR	Iranian Rial
IQD	Iraqi Dinar
ILP	Israeli lira
ILR	Israeli shekel
ITL	Italian Lira (no longer in use)
JMD	Jamaican Dollar
JOD	Jordanian Dinar
UAK	Karbovanet
KES	Kenyan Shilling
PGK	Kina
LAK	Kip
CSK	Koruna of former Czechoslovakia.
EEK	Kroon



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KWD	Kuwaiti Dinar
AOK	Kwanza
MMK	Kyat
KGS	Kyrgyzstani Som
LAJ	Lao kip
GEL	Lari
LVL	Lats
LBP	Lebanese Pound
ALL	Lek
HNL	Lempira
SLL	Leone
BGL	Lev
LRD	Liberian Dollar
LYD	Libyan Dinar
SZL	Lilangeni
LTL	Litas
LSL	Loti
LUF	Luxembourg Franc (no longer in use)
MKD	Macedonian Dinar
MGA	Malagasy ariary
MGF	Malagasy Franc
MWK	Malawian Kwacha
MVQ	Maldive rupee
MLF	Malian Franc
LSM	Maloti
MTL	Maltese Lira
MTP	Maltese Pound, replaced by Maltese Lira
FIM	Markka (no longer in use)
MUR	Mauritius Rupee
MZM	Metical
MXN	Mexican New Peso (replacement for Mexican Peso)
MXP	Mexican Peso, replaced by Mexican New Peso
MXV	Mexican Unidad de Inversion (UDI) (funds code)
MDL	Moldavian Leu
MCF	Monegasque franc (currency union with FRF)
MAD	Moroccan Dirham
MAF	Moroccan franc
MZN	Mozambican metical
NGN	Naira
NAD	Namibian Dollar
NPR	Nepalese Rupee
ANG	Netherlands Antilles Guilder
AON	New Kwanza
PEN	New Sol
CDZ	New Zaire
NZD	New Zealand Dollar
PLN	New Zloty
NFD	Newfoundland dollar
BTN	Ngultrum
XXX	No currency



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NOK	Norwegian Krone
MKN	Old Macedonian denar A/93
OMR	Omani Rial
MRO	Ouguiya
TOP	Pa'anga
PKR	Pakistani Rupee
XPD	Palladium (one troy ounce)
MOP	Pataca
PEH	Peruvian sol
PHP	Philippines Peso
XPT	Platinum (one troy ounce)
PTE	Portuguese Escudo (no longer in use)
GBP	Pound Sterling (United Kingdom Pound)
BWP	Pula
IEP	Punt (no longer in use)
QAR	Qatari Riyal
GTQ	Quetzal
ZAR	Rand
ZAL	Rand (financial)
BRL	Real
ZRZ	Replaced by CDZ
BUK	Replaced by MMK
KRW	Republic of Korean Won
ZWC	Rhodesian dollar
KHR	Riel
MYR	Ringgit (also known as Malaysian Dollar)
ROL	Romanian Leu
RON	Romanian new leu
MVR	Rufiyaa
IDR	Rupiah
RUB	Russian Federation Rouble (formerly RUR)
RUR	Russian rouble A/97
RWF	Rwandan Franc
SML	San Marinense lira (currency union with ITL and VAL)
SAR	Saudi Riyal
ATS	Schilling (no longer in use)
CSD	Serbian dinar
RSD	Serbian dinar
SCR	Seychelles Rupee
ILS	Shekel
XAG	Silver (one troy ounce)
SGD	Singapore Dollar
SKK	Slovak Koruna
PES	Sol (replaced by New Sol [PEN])
SBD	Solomon Islands Dollar
SOS	Somali Shilling
YDD	South Yemeni Dinar
ESP	Spanish Peseta (no longer in use)
ESA	Spanish Peseta, Account A (no longer in use)
ESB	Spanish Peseta, Account B (no longer in use)



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LKR	Sri Lankan Rupee
SHP	St Helena Pound
ECS	Sucre (no longer in use)
SDD	Sudanese Dinar
SDG	Sudanese pound
SDP	Sudanese Pound
SRG	Surinam Guilder
SRD	Surinamese dollar
SEK	Swedish Krona
CHF	Swiss Franc
GNS	Syli (also known as Guinea Franc)
SYP	Syrian Pound
TWD	Taiwan Dollar
TJR	Tajik Rouble
TJS	Tajikistani somoni
BDT	Taka
WST	Tala
TZS	Tanzanian Shilling
KZT	Tenge
TPE	Timorian Escudo
SIT	Tolar
TTD	Trinidad and Tobago Dollar
MNT	Tugrik
TND	Tunisian Dinar
TRL	Turkish Lira
TRY	Turkish lira
TMM	Turkmenistani Manat
TMT	Turkmenistani manat
UGS	Ugandan Shilling
UGX	Ugandan shilling
XFU	UIC franc (special settlement currency)
COU	Unidad de Valor Real
CLF	Unidades de Fomento
SUR	Union of Soviet Socialist Republics Rouble
AED	United Arab Emirates Dirham
USD	United States Dollar
USN	United States Dollar (Next day)
USS	United States Dollar (Same day)
-	UNKNOWN
UYN	Uruguay old peso
UYU	Uruguayan New Peso
UYP	Uruguayan Peso, replaced by Uruguayan New Peso (UYU)
UZS	Uzbekistani Som
VAL	Vatican lira (currency union with ITL and SML)
VUV	Vatu
VEF	Venezuelan bolívar fuerte
VND	Viet Nam Đông
XOF	West African Franc
CHW	WIR Bank (complementary currency)
CHE	WIR Bank (complementary currency)



Standard XML Reporting Instructions and Specifications

YER	Yemeni Riyal
JPY	Yen
CNY	Yuan Renminbi
YUG	Yugoslav dinar
YUM	Yugoslav dinar
YUN	Yugoslav dinar
YUO	Yugoslav dinar
YUR	Yugoslav dinar
YUD	Yugoslavian New Dinar (no longer in use)
ZRN	Zairean new zaïre
ZMK	Zambian Kwacha
ZWD	Zimbabwe Dollar
ZWL	Zimbabwe dollar
ZWN	Zimbabwean dollar A/08
ZWR	Zimbabwean dollar A/09
PLZ	Zloty (replaced by New Zloty [PLN])

Table 32: Currency Codes

5.14 Country Codes - Enumeration

This list states the country names (official short names in English) in alphabetical order as given in ISO 3166-1 and the corresponding ISO 3166-1-alpha-2 code elements.

Value	Description
AF	AFGHANISTAN
OA	African Intellectual Property Organization
AP	African Regional Industrial Property Organization
AX	ÅLAND ISLANDS
AL	ALBANIA
DZ	ALGERIA
AS	AMERICAN SAMOA
AD	ANDORRA
AO	ANGOLA
AI	ANGUILLA
AQ	ANTARCTICA ENG
AG	ANTIGUA AND BARBUDA
AR	ARGENTINA
RA	ARGENTINA
AM	ARMENIA
AW	ARUBA
AC	Ascension Island
AU	AUSTRALIA
AT	AUSTRIA
AZ	AZERBAIJAN
BS	BAHAMAS
BH	BAHRAIN
BD	BANGLADESH
BB	BARBADOS
BY	BELARUS
BE	BELGIUM
BZ	BELIZE



Standard XML Reporting Instructions and Specifications

BX	Benelux Trademarks and Designs Office
DY	BENIN
BJ	BENIN
BM	BERMUDA
BT	BHUTAN
BO	BOLIVIA
RB	Bolivia [cf. Botswana: identical code element]
BQ	Bonaire, Saint Eustatius and Saba
BA	BOSNIA AND HERZEGOVINA
BW	BOTSWANA
BV	BOUVET ISLAND
BR	BRAZIL
IO	BRITISH INDIAN OCEAN TERRITORY
BN	BRUNEI DARUSSALAM
BG	BULGARIA
BF	BURKINA FASO
BU	Burma
BI	BURUNDI
KH	CAMBODIA
CM	CAMEROON
CA	CANADA
IC	Canary Islands
CV	CAPE VERDE
KY	CAYMAN ISLANDS
CF	CENTRAL AFRICAN REPUBLIC
EA	Ceuta, Melilla
TD	CHAD
CL	CHILE
CN	CHINA
RC	CHINA
CX	CHRISTMAS ISLAND
CP	Clipperton Island
CC	COCOS (KEELING) ISLANDS
CO	COLOMBIA
KM	COMOROS
CG	CONGO
CD	CONGO, THE DEMOCRATIC REPUBLIC OF THE
CK	COOK ISLANDS
CR	COSTA RICA
CI	COTE D'IVOIRE
HR	CROATIA
CU	CUBA
CW	Curaçao
CY	CYPRUS
CZ	CZECH REPUBLIC
DK	DENMARK
DG	Diego Garcia
DJ	DJIBOUTI
DM	DOMINICA
DO	DOMINICAN REPUBLIC



Standard XML Reporting Instructions and Specifications

TP	East Timor
EC	ECUADOR
EG	EGYPT
SV	EL SALVADOR
GQ	EQUATORIAL GUINEA
ER	ERITREA
EW	ESTONIA
EE	ESTONIA
ET	ETHIOPIA
EV	Eurasian Patent Organization
EP	European Patent Organization
EM	European Trademark Office
EU	European Union
FK	FALKLAND ISLANDS (MALVINAS)
FO	FAROE ISLANDS
FJ	FIJI
FI	FINLAND
SF	FINLAND
FR	FRANCE
FX	France, Metropolitan
GF	FRENCH GUIANA
PF	FRENCH POLYNESIA
TF	FRENCH SOUTHERN TERRITORIES
GA	GABON
GM	GAMBIA
GE	GEORGIA
DE	GERMANY
GH	GHANA
GI	GIBRALTAR
GR	GREECE
GL	GREENLAND
GD	GRENADA
WG	GRENADA
GP	GUADELOUPE
GU	GUAM
GT	GUATEMALA
GG	GUERNSEY
GN	GUINEA
GW	GUINEA-BISSAU
GY	GUYANA
HT	HAITI
RH	HAITI
HM	HEARD ISLAND AND MCDONALD ISLANDS
VA	HOLY SEE (VATICAN CITY STATE)
HN	HONDURAS
HK	HONG KONG
HU	HUNGARY
IS	ICELAND
IN	INDIA
ID	INDONESIA



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RI	INDONESIA
IB	International Bureau of WIPO
IR	IRAN, ISLAMIC REPUBLIC OF
IQ	IRAQ
IE	IRELAND
IM	ISLE OF MAN
IL	ISRAEL
IT	ITALY
JA	Jamaica
JM	JAMAICA
JP	JAPAN
JE	JERSEY
JO	JORDAN
KZ	KAZAKHSTAN
KE	KENYA
KI	KIRIBATI
KP	KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF
KR	KOREA, REPUBLIC OF
KS	KOSOVO
KW	KUWAIT
KG	KYRGYZSTAN
LA	LAO PEOPLE'S DEMOCRATIC REPUBLIC
LV	LATVIA
LB	LEBANON
RL	LEBANON
LS	LESOTHO
LR	LIBERIA
LF	Libya Fezzan
LY	LIBYAN ARAB JAMAHIRIYA
LI	LIECHTENSTEIN
FL	Liechtenstein
LT	LITHUANIA
LU	LUXEMBOURG
MO	MACAO
MK	MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF
MG	MADAGASCAR
RM	MADAGASCAR
MW	MALAWI
MY	MALAYSIA
MV	MALDIVES
ML	MALI
MT	MALTA
MH	MARSHALL ISLANDS
MQ	MARTINIQUE
MR	MAURITANIA
MU	MAURITIUS
YT	MAYOTTE
MX	MEXICO
FM	MICRONESIA, FEDERATED STATES OF
MD	MOLDOVA, REPUBLIC OF



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MC	MONACO
MN	MONGOLIA
ME	Montenegro
MS	MONTSERRAT
MA	MOROCCO
MZ	MOZAMBIQUE
MM	MYANMAR
NA	NAMIBIA
NR	NAURU
NP	NEPAL
NL	NETHERLANDS
AN	NETHERLANDS ANTILLES
NT	Neutral Zone
NC	NEW CALEDONIA
NZ	NEW ZEALAND
NI	NICARAGUA
RN	NIGER
NE	NIGER
NG	NIGERIA
NU	NIUE
NF	NORFOLK ISLAND
MP	NORTHERN MARIANA ISLANDS
NO	NORWAY
OM	OMAN
PK	PAKISTAN
PW	PALAU
PS	PALESTINIAN TERRITORY, OCCUPIED
PA	PANAMA
PG	PAPUA NEW GUINEA
PY	PARAGUAY
GC	Patent Office of the Cooperation Council for the Arab States of the Gulf (GCC)
PE	PERU
PH	PHILIPPINES
PI	PHILIPPINES
RP	PHILIPPINES
PN	PITCAIRN
PL	POLAND
PT	PORTUGAL
PR	PUERTO RICO
QA	QATAR
RE	REUNION
RO	ROMANIA
RU	RUSSIAN FEDERATION
RW	RWANDA
BL	SAINT BARTHÉLEMY
SH	SAINT HELENA
KN	SAINT KITTS AND NEVIS
LC	SAINT LUCIA
WL	SAINT LUCIA



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MF	SAINT MARTIN (FRENCH PART)
PM	SAINT PIERRE AND MIQUELON
WV	Saint Vincent
VC	SAINT VINCENT AND THE GRENADINES
WS	SAMOA
SM	SAN MARINO
ST	SAO TOME AND PRINCIPE
SA	SAUDI ARABIA
SN	SENEGAL
RS	Serbia
CS	SERBIA AND MONTENEGRO
SC	SEYCHELLES
SL	SIERRA LEONE
SG	SINGAPORE
SX	Sint Maarten (Dutch part)
SK	SLOVAKIA
SI	SLOVENIA
SB	SOLOMON ISLANDS
SO	SOMALIA
ZA	SOUTH AFRICA
GS	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
ES	SPAIN
LK	SRI LANKA
SD	SUDAN
SR	SURINAME
SJ	SVALBARD AND JAN MAYEN
SZ	SWAZILAND
SE	SWEDEN
CH	SWITZERLAND
SY	SYRIAN ARAB REPUBLIC
TW	TAIWAN, PROVINCE OF CHINA
TJ	TAJIKISTAN
TZ	TANZANIA, UNITED REPUBLIC OF
TH	THAILAND
TL	TIMOR-LESTE
TG	TOGO
TK	TOKELAU
TO	TONGA
TT	TRINIDAD AND TOBAGO
TA	Tristan da Cunha
TN	TUNISIA
TR	TURKEY
TM	TURKMENISTAN
TC	TURKS AND CAICOS ISLANDS
TV	TUVALU
UG	UGANDA
UA	UKRAINE
EF	Union of Countries under the European Community Patent Convention
AE	UNITED ARAB EMIRATES
GB	UNITED KINGDOM



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UK	UNITED KINGDOM
US	UNITED STATES
UM	UNITED STATES MINOR OUTLYING ISLANDS
-	UNKNOWN
UY	URUGUAY
SU	USSR
UZ	UZBEKISTAN
VU	VANUATU
YV	Venezuela
VE	VENEZUELA
VN	VIET NAM
VG	VIRGIN ISLANDS, BRITISH
VI	VIRGIN ISLANDS, U.S.
WF	WALLIS AND FUTUNA
EH	WESTERN SAHARA
WO	World Intellectual Property Organization
YE	YEMEN
YU	Yugoslavia
ZR	Zaire
ZM	ZAMBIA
ZW	ZIMBABWE

Table 33: Country Codes

5.15 account_person_role_type

* The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
CL	Client Account
J	Joint Accounts
LG	Legal Guardian
M	Minor Beneficiary
OM	Omnibus Account
A	Primary Signatory
P	Proxy to Account
-	Unknown
A1	MainAccountHolder
A2	CoAccountHolder
A3	Authorized withdrawal
A4	Authorized deposit
A5	Authorized of withdraw and deposit
A6	Authorized to inquire
A7	General Authorization
A8	Power Of Attorney
A9	Guardianship



5.16 entity_person_role_type

*The following list is a suggestion. Actual codes defined by FIU in “Lookup Master” will be added automatically to the Schema by goAML client application.

Value	Description
ACC	Accountant
AUD	Auditors
BM	Board Member
CEO	CEO
CS	Company Secretary
A	Director
GA	General Attendant and Drivers
RBO	Real Benefial Owner
SH	Shareholder
UBO	Ultimate Benefial Owner
-	Unknown
A1	Shareholder
A2	CEO
A3	FinancialDirector
A4	MainAccountant
A5	TopManager
A6	MiddleManager
A7	Employee
A8	BoardMember
A9	ViceChairman
A10	Chairman
A11	Partner

6. Reference Tables

6.1. Report Indicators

Value	Description
TA	Activity does not match client profile
RADVI	Adverse reports - international
RADVC	Adverse reports on commercial databases
RADV L	Adverse reports on local press
LOFAC	Appear on OFAC or other list
IDA	Automated rules based account monitoring
OA	Corruption
ON	Counterfeiting and piracy of products / IPR breaches
OM	Counterfeiting currencies
TN	Denomination Conversion
OO	Environmental Crime
OS	Extortion
OT	Forgery
OC	Fraud
OK	Illicit arms trafficking



Standard XML Reporting Instructions and Specifications

OL	Illicit trafficking in stolen and other goods
IDB	In-branch/Teller identified
OV	Insider trading / market manipulation
PEPI	Involves international PEPs
PEPL	Involves local PEPs
OQ	Kidnapping, illegal restraint, hostage taking
IDC	Manual account monitoring
IDD	Manually identified
MIS	Material impact on the financial soundness of RE
OD	Money laundering
OP	Murder and grievous bodily injury
OB	Narcotic Drugs and Psychotropic substances
-	Other
OE	Participation in organised criminal group / racketeering
OU	Piracy
OF	Proliferation
TB	Purchase of securities or high value goods
OR	Robbery or theft
OJ	Sexual Exploitation including sexual exploitation of children
TC	Smurfing
TD	Structuring
BRI	Suspect is an Insider no longer affiliated with RE
BRIS	Suspect is an Insider still affiliated with RE
OG	Tax evasion / Smuggling / Tax Crimes
OH	Terrorism/terrorist financing
TE	Trade based money laundering
OI	Trafficking in human beings and migrant smuggling
TF	Use of casinos and gaming activities
TK	Use of family members and third parties
TL	Use of gatekeepers
TH	Use of Hawala or alternate money remittance
TM	Use of new payment technologies / methods
TG	Use of nominees and trusts
TI	Use of offshore financial services
TJ	Use of shell companies
A1	Wire transfears
A2	internal transfears
A3	Counterfeiting cheques
A4	cheques endorsement
A5	use of Foreign exchange window

